

**WORKING DOCUMENT**  
**Implementing act on monitoring and evaluation of the CAP - FOR**  
**HORIZONTAL REGULATION**

**DISCLAIMER**

This working document has been prepared by DG AGRI staff in order to facilitate the discussion in the Funds Committee and in related expert groups. It has not yet been subject of an inter-service consultation

**Commission Implementing Regulation (EU) No [...] 2014**

**of [...] 2014**

**laying down detailed rules for the application of the common monitoring and evaluation framework in the common agricultural policy**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 1306/2013 of 17 December 2013 of the European Parliament and of the Council on the financing, management and monitoring of the common agricultural policy and repealing Council Regulation (EEC) No 352/78, (EC) No 165/94, (EC) No 814/2000, (EC) No 1290/2005 and (EC) No 485/2008<sup>1</sup>, and in particular Article 110(2) and (4) thereof,

Whereas:

(1) Regulation (EU) No 1306/2013 introduces a framework for monitoring and evaluating the common agricultural policy. This legal framework should be complemented by detailed rules for ensuring a comprehensive and regular assessment of the progress, effectiveness and efficiency of the policy against objectives. In order to allow the Member States and the Commission to put in place a consistent monitoring and evaluation framework, common indicators forming part of the common monitoring and evaluation framework as referred to in Article 110(2) of Regulation (EU) No 1306/2013 should be defined.

(2) The indicators forming part of the common monitoring and evaluation framework should be linked to the structure and objectives of the policy, and be based on measurable elements. Thus, different types of indicators should be defined in order to allow for the assessment of the policy at all

---

<sup>1</sup> OJ L 347, 20.12.2013, p. 549.

levels. Impact indicators should reflect the common main objectives of the CAP as laid down in Article 110(2) of Regulation (EU) No 1306/2013. These common main objectives can be translated into more specific objectives for which result indicators have to be defined. These specific objectives include farm income and farm income variability, improvement of the competitiveness of the agricultural sector, market stability, consumer expectations, provisions of public goods, climate change mitigation and adaptation and maintenance of a diverse agriculture as well as the specific objectives defined for the European Agricultural Fund for Rural Development (EAFRD) namely, the Union priorities for rural development. In addition, the concrete implementation of the CAP instruments shall be monitored on the basis of output indicators that are able to reflect this operational level of the policy.

(3) It is important to ensure that the monitoring and evaluation framework can be used by the Member States and the Commission timely and efficiently. It should therefore be provided that Member States send the necessary information for the common indicators forming part of the common monitoring and evaluation framework as referred to in Art. 110(4) of Regulation (EU) No 1306/2013 while respecting the relevant deadlines.

HAS ADOPTED THIS REGULATION:

#### *Article 1*

#### **Indicators**

The indicators allowing for the assessment of the progress, effectiveness and efficiency of the policy against its objectives as referred to in Article 110(2) Regulation (EU) No 1306/2013 shall be measurable. These shall include:

- (1) Impact indicators reflecting the main achievements in relation to the common policy objectives as laid down in the first subparagraph Article 110(2) of Regulation (EU) No 1306/2013;
- (2) Result indicators reflecting the main achievements of:
  - (a) Regulation (EU) No 1305/2013 of the European Parliament and of the Council<sup>2</sup>;
  - (b) Regulation (EU) No 1306/2013;
  - (c) Regulation (EU) No 1307/2013 of the European Parliament and of the Council<sup>3</sup>,  
and;

---

<sup>2</sup> Regulation (EU) No 1305/2013 of the European Parliament and the Council of 17 December 2013 on support for rural development by the European Agricultural Fund for Rural Development (EAFRD) and repealing Council Regulation (EC) No 1698/2005 (OJ L 347, 20.12.2013, p. 487).

<sup>3</sup> Regulation (EU) No 1307/2013 of the European Parliament and the Council of 17 December 2013 establishing rules for direct payments to farmers under support schemes within the framework of the common agricultural

- (d) Regulation (EU) No 1308/2013 of the European Parliament and of the Council<sup>4</sup>;
- (3) Output indicators reflecting related policy instruments;
- (4) Context indicators - reflecting relevant aspects of the general contextual trends that are likely to have an influence on the implementation, achievements and performance of the CAP ;

as specified in the Annex of this regulation, as well as the indicators relevant for the monitoring and evaluation of the EAFRD in accordance with Title VII on monitoring and evaluation of Regulation (EU) No 1305/2013.

## *Article 2*

### **Delivery of data and information**

- (1) With a view to avoid any undue administrative burden, the Commission shall use for the purpose of monitoring and evaluating the performance of the common agricultural policy the information already made available by Member States to the extent possible. This shall be in particular:
  - (a) Information, notifications and reports made available to the Commission relating to the implementation of the instruments operating within the common agricultural policy;
  - (b) Information made available to the Commission for the clearance of accounts purposes;
  - (c) Information made available to the statistical office of the European Union.

The above-mentioned information shall be accurate and reliable and shall be provided by Member States via existing available tools for information exchange.

- (2) In order to allow for a timely update of the indicators, Member States shall ensure that the transmission of information and data respects the deadlines foreseen for reporting and notifications of information relating to the operation of CAP instruments, as provided for by:
  - (a) Regulation (EU) No 1305/2013;
  - (b) Regulation (EU) No 1306/2013;
  - (c) Regulation (EU) No 1307/2013 ;
  - (d) Regulation (EU) No 1308/2013.

---

policy and repealing Council Regulation (EC) No 637/2008 and Council Regulation (EC) No 73/2009 (OJ L 347, 20.12.2013, p. 608).

<sup>4</sup> Regulation (EU) No 1308/2013 of the European Parliament and the Council of 17 December 2013 establishing a common organisation of the markets in agricultural products and repealing Council Regulations (EEC) No 922/72, (EEC) No 234/79, (EC) No 1037/2001 and (EC) No 1234/2007 (OJ L 347, 20.12.2013, p. 671).

Article 3

This Regulation shall enter into force on the [...] day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

*For the Commission*

*The President*

*[...]*

## List of indicators of the Common Monitoring and Evaluation framework of the CAP

### **DISCLAIMER**

This working document has been prepared by DG AGRI staff in order to facilitate the discussion in the Funds Committee and related expert groups. It has not yet been subject of an inter-service consultation.

### **1. Impact Indicators for the CAP**

1. Agricultural entrepreneurial income
2. Agricultural factor income
3. Total factor productivity in agriculture
4. EU commodity price variability
5. Consumer price evolution of food products
6. Agricultural trade balance
  
7. Emissions from agriculture
8. Farmland birds index
9. HNV Farming
10. Water abstraction in agriculture
11. Water quality
12. Soil organic matter in arable land
13. Soil erosion by water
  
14. Rural employment rate
15. Degree of rural poverty
16. Rural GDP per capita

## 2. Result Indicators for the CAP

1. Share of direct support in agricultural income
2. Variability of farm income
  - *by type of farm*
  - *by economic size*
3. Value added for primary producers in the food-chain
4. EU agricultural exports
  - *share of EU agricultural exports in world exports*
  - *share of final products in EU agricultural exports*
5. Public intervention: % volume of products bought in the intervention storage out of total EU production
6. Private storage: % volume of products in private storage out of total EU production
7. Export refunds: % volume of products exported with export refunds out of total EU production
8. EU commodity prices compared to world prices (broken down by product)
9. Value of production under EU quality schemes compared to total value of agricultural and food production
10. Importance of organic farming
  - *share of organic area in total UAA*
  - *share of organic livestock in total livestock*
11. Crop diversity
  - *on farm (number of farms by number of crops and size)*
  - *in a region*
12. Share of grassland in total UAA
13. Share of EFA in agricultural land
14. Share of eligible area under greening practices
15. Net greenhouse gas emission from agricultural soils
16. Structural diversity
  - *in absolute terms*
  - *in relative terms*

**NOTA BENE:** For Rural Development, the result indicators will be specified in Regulation XXX/2014 (IA RD) in accordance with Title VII of Regulation (EU) No 1305/2013

### 3. Output Indicators for the CAP

#### Direct payments

##### Basic payment scheme

- ❖ *Number of farmers*
- ❖ *Number of hectares*

##### Single Area Payment Scheme

- ❖ *Number of farmers*
- ❖ *Number of hectares*

##### Transitional national aid

- ❖ *Number of farmers*
- ❖ *Number of units for which TNA is granted (hectares / animals / other)*

##### Redistributive payment

- ❖ *Number of farmers*
- ❖ *Number of hectares*

##### Greening

- ❖ *Total number of farmers who have to apply at least one greening obligation*
- ❖ *Total number of hectares declared by these farmers*

##### Greening exemptions

- ❖ *Number of farmers exempted by: organic farmers/ exempted from crop diversification/ exempted from EFA obligation*
- ❖ *Number of hectares declared by these farmers (organic farmers/ exempted from crop diversification/ exempted from EFA obligation)*

##### Crop diversification

- ❖ *Number of farmers subject to crop diversification( with 2 crops; with 3 crops)*
- ❖ *Number of hectares of arable land declared by farmers subject to crop diversification (with 2 crops; with 3 crops)*

##### Permanent grassland

- ❖ *Number of farmers with permanent grassland counting for the ratio*
- ❖ *Number of hectares covered by permanent grassland declared by the farmers counting for the ratio*
- ❖ *Number of farmers with permanent grassland in designated environmentally sensitive areas*
- ❖ *Number of hectares covered by environmentally sensitive permanent grassland declared by these farmers*
- ❖ *Number of hectares of designated environmentally sensitive permanent grassland (total)*

##### EFA

- ❖ *Number of farmers subject to EFA requirements*
- ❖ *Number of hectares of arable land declared by farmers subject to EFA*
- ❖ *Number of hectares declared by farmers as EFA, broken down by EFA type*

##### Equivalence

- ❖ *Number of farmers applying equivalent measures (certification schemes or agri-environment-climate measures)*
- ❖ *Number of hectares declared by farmers implementing equivalent measures (certification schemes or agri-environment-climate measures)*

##### Payment for young farmers

- ❖ *Number of farmers*

- ❖ *Number of hectares*
- Small farmers' scheme
  - ❖ *Number of farmers*
  - ❖ *Number of hectares*
- Voluntary coupled support
  - ❖ *Number of beneficiaries of voluntary coupled support (broken down by sector)*
  - ❖ *Quantities eligible (number of hectares/ number of animals broken down by sector)*
  - ❖ *Number of hectares*
  - ❖ *Number of animals*
- Payment for areas with natural constraints
  - ❖ *Number of farmers*
  - ❖ *Number of hectares*
- National programmes for the cotton sector
  - ❖ *Number of farmers*
  - ❖ *Number of hectares*

## **Market measures**

- Public intervention
  - ❖ *volume*
  - ❖ *Duration*
- Private storage
  - ❖ *volume*
  - ❖ *Duration*
- Export refunds
  - ❖ *Volume of products exported with export refunds*
- Exceptional measure
  - ❖ *[as appropriate]*
- Producer organisations
  - ❖ *% of production marketed by PO and APOs*
- School schemes
  - ❖ *Number of final beneficiaries of school milk scheme*
  - ❖ *Number of final beneficiaries of school fruit scheme*
- Vine sector
  - ❖ *Geographical indications in the vine sector*
  - ❖ *Number of hectares of new vine plantings*
  - ❖ *Number of hectares of restructured vineyards*
  - ❖ *Number of promotion projects in the vine sector*
  - ❖ *Number of projects of investment and innovation measures*

## **Horizontal aspects**

- Cross compliance
  - ❖ *Number of hectares subject to cross-compliance*
  - ❖ *Share of CAP payments subject to cross-compliance*



Quality policy

- ❖ *Number of new PDO, PGI and TSG by sector*

Organic farming

- ❖ *Number of hectares (total and under conversion)*
- ❖ *Number of certified registered organic operators*

Promotion policy

- ❖ *Number of programmes (in and outside the EU)*
- ❖ *Number of new proposing organisations*

Farm Advisory system

- ❖ *Number of farmers advised*

**NOTA BENE:** For Rural Development, the list of output indicators will be specified in Regulation XXX/2014 (IA RD) in accordance with Title VII of Regulation (EU) No 1305/2013

#### **4. Context Indicators**

In accordance with Title VII of Regulation (EU) No 1305/2013, these indicators will be specified in Regulation XXX/2014 (IA RD).