

The CAP after 2020



LEADER INDICATORS: planning & reporting

GREXE

Brussels, 1 October 2020

N.B. This presentation is based on the texts discussed in the Council and prepared for the sole purpose of technical clarifications in Grexe. It is with no prejudice to the position of the institutions on the relating legal texts in trilogues.

#FutureofCAP



European
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LEADER related indicators: Planning & Reporting

(1) Planning

- Results: Milestones and targets as a two-step process
Drafting and Approval of CAP SP +
After selection of local development strategies (LDS)
- Outputs

(2) Reporting

- Results (Milestones & Targets)
- Outputs

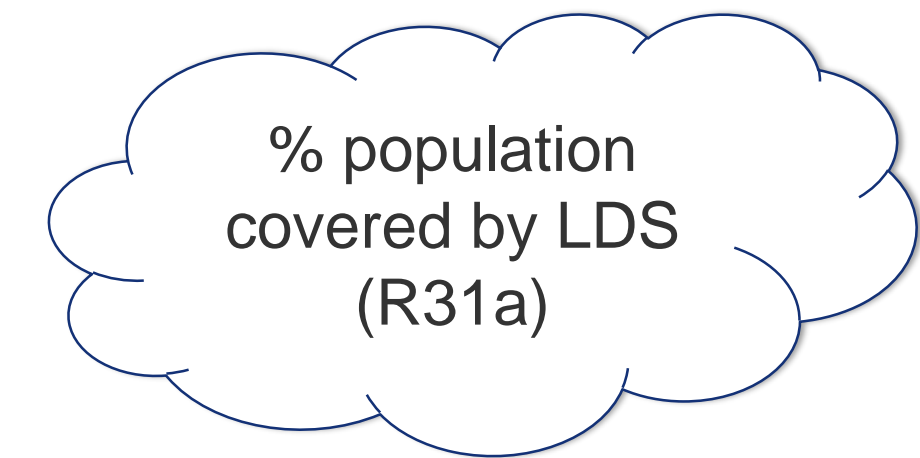
(1) Planning

LEADER – Planning results: Drafting and Approval of CAP SP

(1) Choose a result indicator that...

...is linked to specific objective h

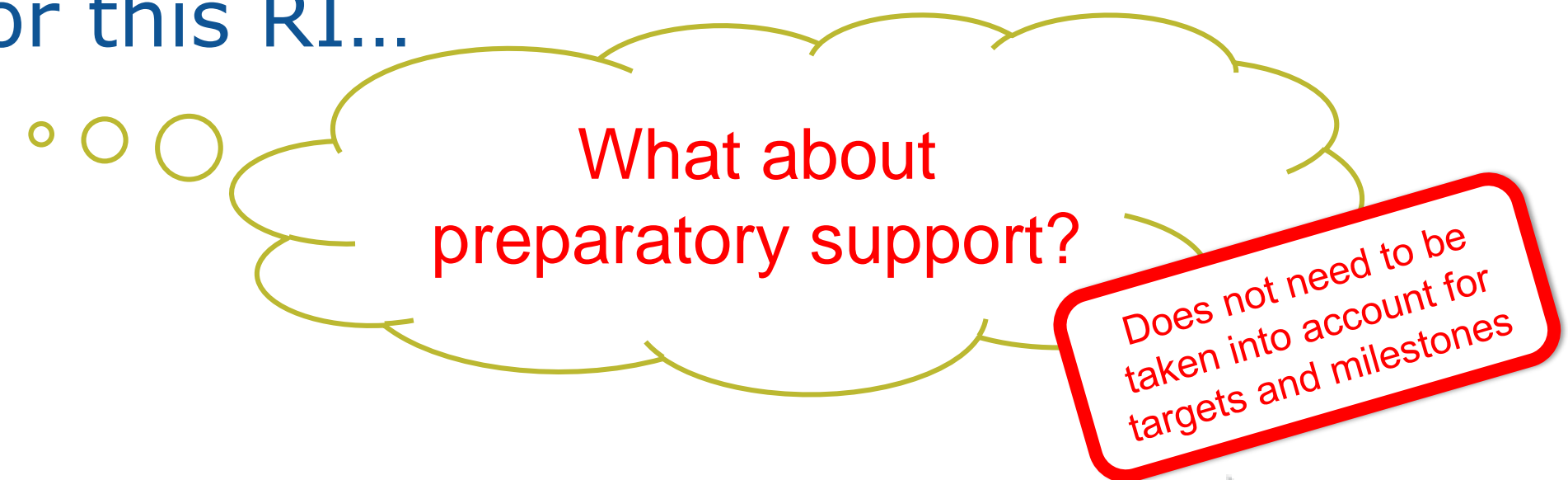
...can incorporate contributions from LEADER



(2) Attribute this RI to the LEADER intervention(s) in the CAP SP

(3) When planning milestones and targets for this RI...

...take the expected contributions from LEADER into account



LEADER – Planning results: After selection of LDS

Amend the CAP SP and...

...based on the design of the LDS

Number of new jobs (R31)

% population covered by social inclusion projects (R35)

N° of SV strategies (R33)

(1) Attribute other RI where LEADER is expected to make a contribution

(2) Adjust targets and milestones for those RI to take the expected contributions from LEADER into account

Operations contributing to environmental sustainability, etc. (R23a)

% population with access to services/infrastructure (R34)

LEADER – Links between LDS and RI: After selection of LDS

	R.31a	R.23a	R.31	R.32	R.33	R.34	R.35
	LEADER coverage	ENV/CLIMA through investment in rural areas	Jobs created	Developing rural bio-economy	Rural population covered by a supported SV strategy	Connecting rural Europe (improved service & infrastructure)	Promoting social inclusion
Local development strategy, LAG Our Poppyland	√		√		√	√	
Local development strategy, LAG Pays de Merveilles	√		√			√	√
Local development strategy, LAG Green fields	√	√	√	√			
CAP Strategic Plan	√	√	√	√	√	√	√

LEADER – Planning outputs and financial allocations – financial table:

- Covers the whole period
- Split per Financial Year (FY)
- Each output planned entirely and only once for the FY where 1st payment to be made (not advances)
- Estimated payments for FY (EAFRD + national co-financing)
- Split in 2 interventions recommended (preparation LDS / implementation LDS)
- Two sub-sets of O.27: different eligibility, different unit amounts
- Average unit amount: estimate of
 - average payment for preparation of LDS
 - average budget of a LDS

Intervention 1 – LEADER preparation – planning output indicators (financial table), example

0. 27 N° LDS prepared

Whole support will be paid at once, upon submission of prepared LDS

Financial year	FY 1	FY 2	FY 3	FY 4	FY 5...	TOTAL
Planned output (LDS prepared)	110	-	-	-	-	110
Indicative financial allocation (EUR)	220	-	-	-	-	220

No further expenditure planned in following years

Planned average unit amount to be set (here: 2) and explanations to be provided

Intervention 2 – LEADER implementation – planning output indicators (financial table), example

0. 27 N° LDS implemen

No expenditure foreseen before selection of LDS

Each output planned only once

Financial year	FY 1	FY 2	FY 3	FY 4	FY 5...	TOTAL
Planned output (LDS implemented)	-	100	-	-	-	100
Indicative financial allocation (EUR)	-	200	200	300	300	1000

Running costs incurred for each selected LDS, outputs planned, i.e. number of LDS

Planned average unit amount to be set (here: 10) and explanations to be provided



(2) Reporting

LEADER – reporting on contribution of LEADER to the numerators of RI

	R.31a	R.23a	R.31	R.32	R.33	R.34	R.35
	LEADER coverage	ENV/CLIMA through investment in rural areas	Jobs created	Developing rural bio-economy	Smart Village strategies	Connecting rural Europe (improved service & infrastructure)	Promoting social inclusion
Local development strategy, LAG Our Poppyland	50.000	-	25	-	15	15.000	-
Local development strategy, LAG Pays de Merveilles	70.000	-	20	-	-	20.000	80
Local development strategy, LAG Green fields	90.000	3	20	15	-	-	-
Total	<u>210.000</u> Rural Pop.	3+n	65+n	15+n	15+n	<u>35.000+n</u> Rural pop.	80+n

n – expected contributions from other interventions

LEADER– reporting on outputs under performance clearance

- Output reported in given FY when payment made
 - For clearance: calculated proportionally to payment made (n°LDS which got paid in a year x % of total financial allocation they received)
 - For monitoring purposes: in full (n°LDS supported in a year)
- Separate reporting per intervention*:
 - N° of LDS prepared
 - N° of LDS implemented

* *General rule: per unit amount, but here, 1 intervention ⇔ 1 unit amount*

Intervention 1 - LEADER preparation – reporting on output indicators, example O. 27 N° LDS prepared

Financial year	FY 1	FY 2	FY 3	FY 4	FY 5...	TOTAL
Output realised (LDS prepared)	110	-	-	-	-	110
Realised expenditure (EUR)	220	-	-	-	-	220
Ratio	2	-	-	-	-	2
<u>Realised expenditure</u> Output realised						

Monitoring FY1: O. 27 LDS prepared = 110

Intervention 2 – LEADER implementation – reporting on output indicators, example

0. 27 N° LDS implemented

Partial output (ie. 100 LDS paid x 20% payment received)

Financial year	FY 1	FY 2	FY 3	FY 4	FY 5...	TOTAL
Output realised (LDS implemented)	-	20	30	30	20	100
Realised expenditure	-	200	300	300	200	1000
Ratio <small>Realised expenditure / Output realised</small>	-	10	10	10	10	10

Ratio to compare to planned unit amount

Monitoring FY1: 0. 27 LDS implemented = 0
 FY 2-FY 5: 0. 27 LDS implemented = 100



LEADER implementation – reporting on output indicators, deviation of unit amount

Financial year	FY 2
Output realised	9
Realised expenditure	150
Ratio	16,6
$\frac{\text{Realised expenditure}}{\text{Output realised}}$	

Only 60 LDS got paid

Example:

60 LDS get paid in that particular FY (instead of 100)

Tot. budget allocated = 1000 (15% paid)

Output = 15%*60 LDS = 9

Average unit amount: 16,6 > 10 (planned)

Deviation: Details to be provided

Monitoring FY2: 0. 27 LDS implemented = 60

LEADER – reporting under performance clearance

- Deviations from annual planned outputs (annual number of strategies) is not an issue for performance clearance
- Deviations from the indicative financial allocation is not an issue
- Deviation from the planned average unit amount can be explained under performance clearance

Thank you for your attention!