

“Come preparare il RAE 2017”

Indicatori e fonti

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“Come preparare il RAE 2017”



EUROPEAN
EVALUATION
HELPDESK
FOR RURAL DEVELOPMENT



SFC2014

Prop
Point 7
(referred to in Arti
Regulation (I
Commission

GUIDELINES

ASSESSMENT OF RDP RESULTS: HOW TO PREPARE FOR REPORTING ON EVALUATION IN 2017

ANNEX 11 - FICHES FOR ANSWERING COMMON EVALUATION
QUESTIONS FOR RURAL DEVELOPMENT PROGRAMMES 2014-
2020

OP RESULTS:
FOR REPORTING
2017



Source: European Evaluation Helpdesk for Rural Development, 2015

In the AIR 2017, EQs related to the RDP FA and other aspects (synergies, TA, NRNs) shall be answered by means of common, additional and specific result indicators

Years 2014-2016

- Summary of the evaluation through the quantification and assessment of programme achievements denoted by all result indicators (primary and secondary contributions).
- Validation of values of target indicators
- Answering relevant evaluation questions based on the assessment of the above indicators

Recommended practices: effects of various financial instruments; delivery mechanisms



AIR 2017 SFC template – point 7

- Common evaluation question (number and title)
 1. Intervention logic of the related FA List of measures contributing to the Focus Area (FA) primary and secondary contributions)
 2. Links between judgment criteria and indicators used to answer the evaluation question
 3. Description of the quantitative and qualitative methods
 4. Quantitative values of indicators and data sources
 5. Problems encountered influencing the validity and reliability of evaluation findings (rec)
 6. Answer to evaluation question
 7. Conclusions and recommendations

RELEVANT

Are indicators closely linked to the objectives to be reached? Are they stated at the right level – are the impact indicators really indicators of impacts and do result indicators refer to results?



ACCEPTED

Are they accepted by stakeholders?



ROBUST

Will they continue to be usable and are they such that they cannot be manipulated easily?



CREDIBLE

Are they accepted externally, while being unambiguous and easy to interpret for non-experts?



EASY

Are they easy to monitor?



Common CMES indicators : common result/target indicators and complementary result indicators (CRIs). Also common context indicators can be used.

Additional indicators (MS) : in case the common indicators are not sufficient.

Programme-specific indicators (MS) : programme-specific evaluation questions, in the cases where common and additional indicators cannot be used for this purpose.

The evaluation to be reported in 2017 will rely on a variety of information

- Monitoring data on beneficiaries.
- Additional data collected by evaluators from a sample of beneficiaries.
- Data on non-beneficiaries from national/regional statistics, FADN, annual accounts, etc.
- Qualitative information complementing insufficient quantitative data (TA, NRNs, delivery mechanisms, etc., or to answer evaluation questions in case of low or no uptake)



If programme approval is delayed severely.

low uptake : any information available on potential beneficiaries (applications, existing/ongoing contracts) and explain why result indicators could not be calculated.

no uptake: the indicators do not have to be calculated and the CEQ does not need have to be answered.

Small Programme: difficult to quantify result indicators and answer the CEQ using quantitative methods, due to a lack of data. However, the CMES requirements are minimalistic and must be applied in the evaluation (all beneficiaries?)



However, when there are no completed operations, methods based on the **theory of change** or qualitative assessments can be used to get evidence on potential RDP achievements.

The legal acts also require reporting in the AIR on the evaluations that have been done in the programme area during the previous years.

2. Link between judgment criteria, common and additional¹⁰³ result indicators used to answer the CEQ

[Mandatory]

Judgment criteria¹⁰⁴ <i>[Max. 255 characters]</i>	Common result indicators¹⁰⁵ <i>[Max. 255 characters]</i>	Additional result indicators¹⁰⁶ <i>[Max. 255 characters]</i>
Agriculture output per AWU of supported agriculture holdings has increased	CRI R2 - Change in agriculture output/AWU in RDP supported projects	
Farms have been modernised Farms have been restructured	R1/T4 - % of agriculture holdings with RDP support for investments in restructuring and modernisation	Percentage of agriculture holdings with RDP support for investments regarding modernization
Farms' economic performance has improved due to implementation of the above RD measures (RDP specific)		Net change of Gross Farm Income (RDP specific)
Farms' market participation has increased due to implementation of above RD measures (RDP specific)		Net change of Sales/total output (RDP specific)




4. Quantitative values of indicators¹¹² and data sources

[Mandatory]

Indicator	Absolute value ¹¹³	Ratio value ¹¹⁴	Calculated gross value ¹¹⁵			Calculated net value ¹¹⁶	Data and information sources ¹¹⁷
			Primary contributions	Secondary contributions, including LEADER/CLLD contributions	Total value		
Common output indicators ¹¹⁸	O3 – No. of operations supported (primary/secondary measures)	918/1 228					Pillar II operations database
	O4 – no. of holdings supported (M4, 6, 11, 13)	1 350					Pillar II operations database
Common result indicators	R1/T4 – Percentage of agricultural holdings with RDP support	18,6					Pillar II operations database

Values are based on the quantitative methods described above (CRI or add. indicators), or via operations database (target , output) or from statistics databases for CCI or add. indicators, if they are used to answer the common evaluation questions .

Gross value is the value of indicator observed within the group of RDP beneficiaries.
Net value is the value of indicator attributed to the RDP intervention.

	for investments in restructuring or modernisation							
	R2 – Change in agricultural output on supported farms (numerator) ¹¹⁹			€ 201,450,000	€ 6,450,000	€ 280,000,000	€ 175,000,000	National database of farms/FADN
	R2 – AWU (denominator)			4,250	750	5,000	5,000	National database of farms/FADN
	R2 – Change in agricultural output on supported farms / AWU (Numerator/ denominator)			€ 47,400	€ 8,600	€ 56,000	€ 35,000	National database of farms/FADN
Additional result	Gross Farm Income		42	€ 117,831	€ 8,869	€ 126,700	€ 97,240	National database of farms/FADN
indicators ¹²⁰	Sales/total output			€ 216,535.41	€ 21,415.59	€ 237,951		
Common Context indicators ¹²¹	CCI 17 - Total number of agricultural holdings	7,250						EU database of CCI

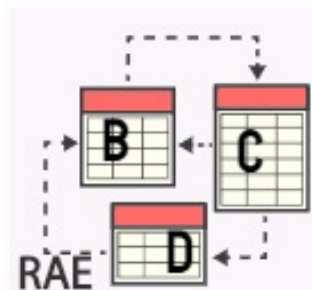
Data	Sources of available data sets	Provider	Availability	Necessary arrangements
Primary data on beneficiaries	Operations database/monitoring	Paying Agency	Yes, without additional costs	None
	Surveys	Evaluators	Yes, with additional costs	Evaluator prepares and conducts the survey
	Income statements	Tax office	Yes, with additional costs	Agreement with the tax office
Primary data on non-beneficiaries	FADN (ensuring anonymity)	Member States responsible body	Yes	None
	National/regional statistics (ensuring anonymity)	Statistical office	Yes, no additional costs	Request for data sets
	Surveys	Evaluators	Yes, with additional costs	Evaluator prepares and conducts the survey
Secondary data on beneficiaries and non-beneficiaries	FADN	EU	Yes	None
	National/regional statistics	Statistical office	Yes, no additional costs	Request for data sets
	Surveys	Evaluators	Yes, with additional costs	Evaluator prepares and conducts the survey
Other data	Research reports	Research institutes	Yes, with additional costs	Contract with the research institute
	Other reports	NGO	Yes, with additional costs	Contract with the NGO

Evaluation methods and data needs

The evaluation approach and methods chosen have consequences on data needs, as the data availability has implications on the selection of methods.

To collect additional data is costly

Several stakeholders should be involved in ensuring the availability of data



o.4

Data items relevant for several measures				
No.	Data item	Value and measure/sub-measure code	Likely information source/comments	Relevant target indicators
12	Specific classification for monitoring purposes	10: agri-environment and climate measure typology 8.1 and 8.2: breakdown maintenance/establishment 3: breakdown EU quality scheme/national quality schemes /voluntary certification schemes 11: breakdown conversion/maintenance 7.1 and 7.3: type of plans and type of ICT operations	Prefilled by MA on application form or encoded by MA/PA when processing application This is where there is a specific breakdown going below sub-measure level	
13	O.4 Number of holdings/beneficiaries supported	Number 3, 4.1, 5*, 6, 8.1 to 8.4, 11, 12, 13, 14, 17.1	Application form *5: broken down by type of farm holders/others	T.4, T.5, T.6, T.7
14	O.2 Total investment (including private)	€ 4, 5, 6.4, 7.2 to 7.8, 8.5 and 8.6	Application form	T.15, T.16
15	O.5 Total area	Ha 4*, 8.1, to 8.5, 10, 11, 12, 13, 15	IACS/application form/payment claim *4: only operations in irrigation linked to FA-5A	T.8, T.9, T.10, T.11, T.12, T.13, T.14, T.18, T.19
16	O.6 Physical area supported	10.1	IACS/application form/payment claim	
17	O.7 Number of contracts supported	Number 10, 15	Application form	

WD MONITORING (2014-2020)

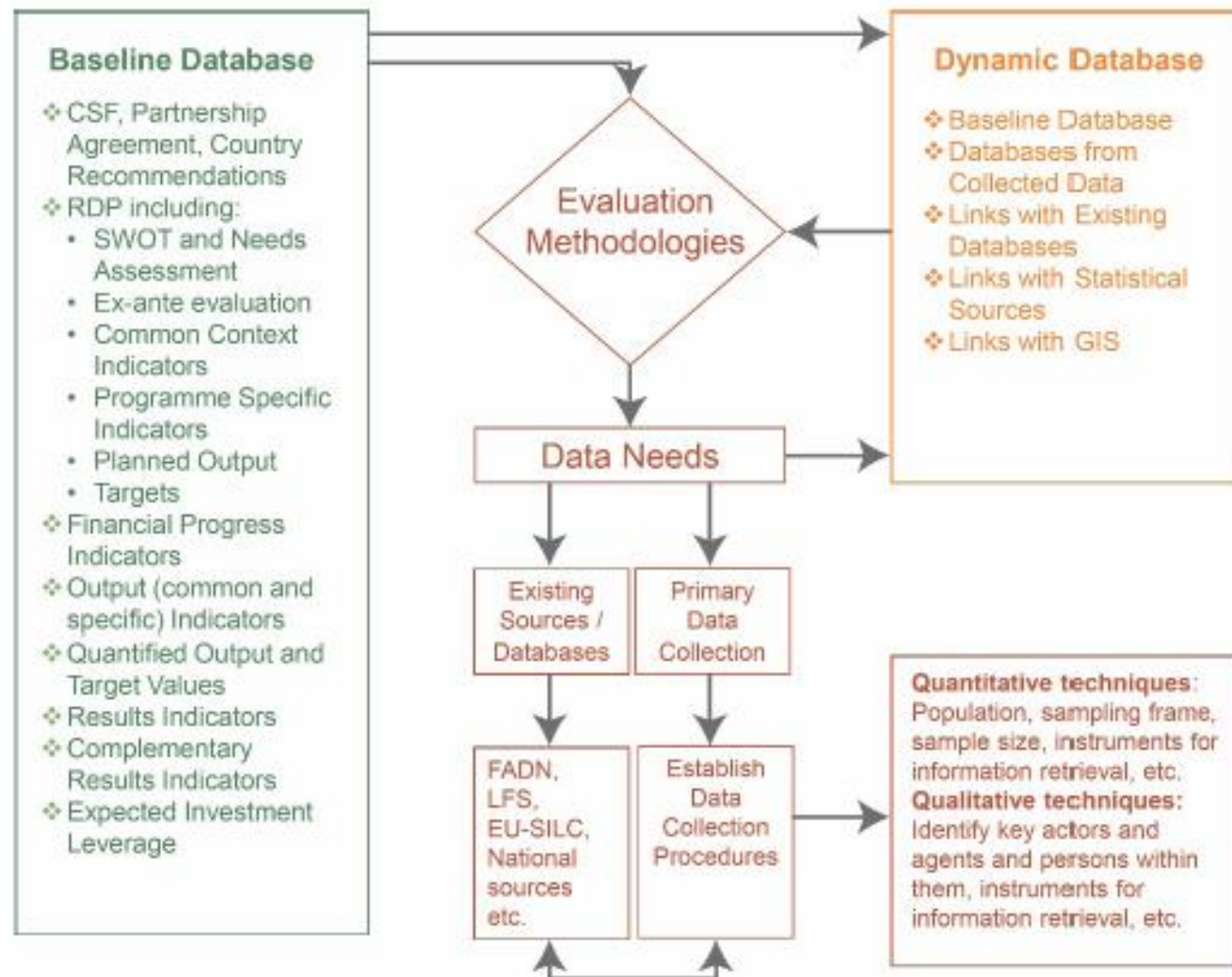
O.4 Number of holdings/beneficiaries supported¶

Title and definitionα	Measures concernedα	Source of data, timing of reportingα
Nbr. of holdings/beneficiaries supported-α	3.1, -4.1, -5, -6, -8.1 to -8.4, -11, -12, -13, -14, -17.1α	Application form, reported when completedα
<p>¶ Beneficiaries are individuals or entities other than farm holdings which received support. ¶ ¶ 3.1: number of holdings supported for new participation in quality schemes (broken down by EU schemes, national schemes, voluntary agricultural product certification schemes). For that sub-measure, completion is determined by the annual payment made, reported annually in table B3 (Art. 5 (3) of reg. 215/2014)¶ ¶ 4: only for 4.1: number of holdings supported for investments in agricultural holdings¶ ¶ 5: number of beneficiaries supported for investment in prevention or restoration (broken down by type of beneficiaries: farm holders or others)¶ ¶ 6: number of holdings or beneficiaries receiving support (broken down by business start-up aid for young farmers, business start-up aid for non-agric activities in rural areas, business start-up aid for development small farms, support for investments in creation and development of non-agricultural activities, payments for farmers eligible for the small farmers scheme who permanently transfer their holding to another farmer); out of which nr of operations supported through FI¶ ¶</p>		

WD MONITORING (2014-2020)

IMPLEMENTATION REPORT TABLES

- Table A: **Committed** expenditure by measure and FA
- Table B: **Realised** output indic. by measure and FA
- Table C: Breakdown type of area, gender, age, FT, ...
- Table D: Progress towards **targets**
- Table E: Monitoring of transitional measures
- Table F: Achievement of the **performance framework**



Data collected for the evaluation or accessed from existing databases, together with the baseline database, form a dynamic database which will feed the evaluation process with appropriate data.

Transition arrangements ("new budget/old rules").

For the 2017 AIR, it is necessary to show separately the results achieved with the portion of the 2014-2020 budget implemented according to 2007-2013 rules from the results achieved with the new 2014-2020 budget implemented with the 2014-2020 programmes/policy, in both cases evaluating the results using the 2014-2020 conceptual approach.

On-going commitments from the previous period

In the AIR, ongoing commitments made during the 2007-13 programming period and supported through 2014-20 EAFRD and commitments made in 2014 based on the 2007-13 rules and paid through 2014-2020 EAFRD are monitored in the Tables A, B, C and D. No specific distinction is foreseen.

The additional Table E allows the monitoring of realized EAFRD and total public expenditure by measure. This table is based on the model of the indicative carry-over table (section 19 of the RDP).



Specificities of the NRN

There is only one CEQ for NRNs: “To what extent has the national rural network contributed to achieving the objectives laid down in Regulation (EU) No 1305/2013, Article 54 (2)?” This EQ is supported by three common output indicators.

Due to the limited set of common evaluation elements, Member States are advised to define additional indicators which will allow one to answer this NRN-related CEQ.

If desired, Member States may also develop further NRN-related PSEQs and programme-specific indicators to answer them.

Indicator	Output
Number of thematic and analytical exchanges set up with the support of the NRN	O24
Number of NRN communication tools	O25
Number of ENRD activities in which the NRN has participated	O26

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Sei in: [Home](#) > [Rete Rurale Nazionale](#) > Raccolta di documenti utili al calcolo degli indicatori

Raccolta di documenti utili al calcolo degli indicatori e alla realizzazione delle RAE



In questa sezione sono resi disponibili i documenti di indirizzo comunitari e la relativa documentazione tecnica prodotta sia a livello comunitario che nazionale relativamente agli obblighi di monitoraggio e al calcolo degli indicatori nel quadro della Programmazione 2014-2020 dello

Sviluppo Rurale. È resa disponibile anche una specifica sezione che riporta le FAQ rivolte più frequentemente ai servizi della Commissione Europea, ai referenti della Rete Rurale Nazionale e al Mipaaf da parte delle amministrazioni nazionali e regionali responsabili dei PSR. Tale sezione verrà aggiornata periodicamente al fine di condividere le informazioni fra tutti coloro che sono a vario titolo coinvolti con la programmazione e l'attuazione dei PSR. Di seguito si riporta un elenco dei documenti principali, e scaricabili dalla sezione, distinti per i temi degli indicatori e delle relazioni annuali di avanzamento.

Il referente per tale attività è [Roberto Cagliero](#)

Documenti UE sul calcolo degli indicatori

22/03/16 - Complementary Result Indicator fiches for Pillar II - Giugno 2014

Autore: UE

22/03/16 - Impact indicator fiches (Annex to the Technical Handbook) Luglio 2014

Autore: UE

22/03/16 - RDP and target setting 2014-20 (Annex to the Technical Handbook) indicator plan 2015

Autore: UE

22/03/16 - Target/result indicator fiches for Pillar II (Annex to the Technical Handbook) Aprile 2015

Autore: UE

22/03/16 - RDP indicator plan (Excel tool) Luglio 2015

Consulta le nostre FAQ

08/07/15 - FAQ su "Piano degli indicatori e tabelle di monitoraggio"
Autore: RRN

26/06/15 - FAQ su Data items list for Pillar II Operations Database
Autore: RRN

26/06/15 - FAQ su Indicatori di risultato e target
Autore: RRN

Documenti utili per le RAE

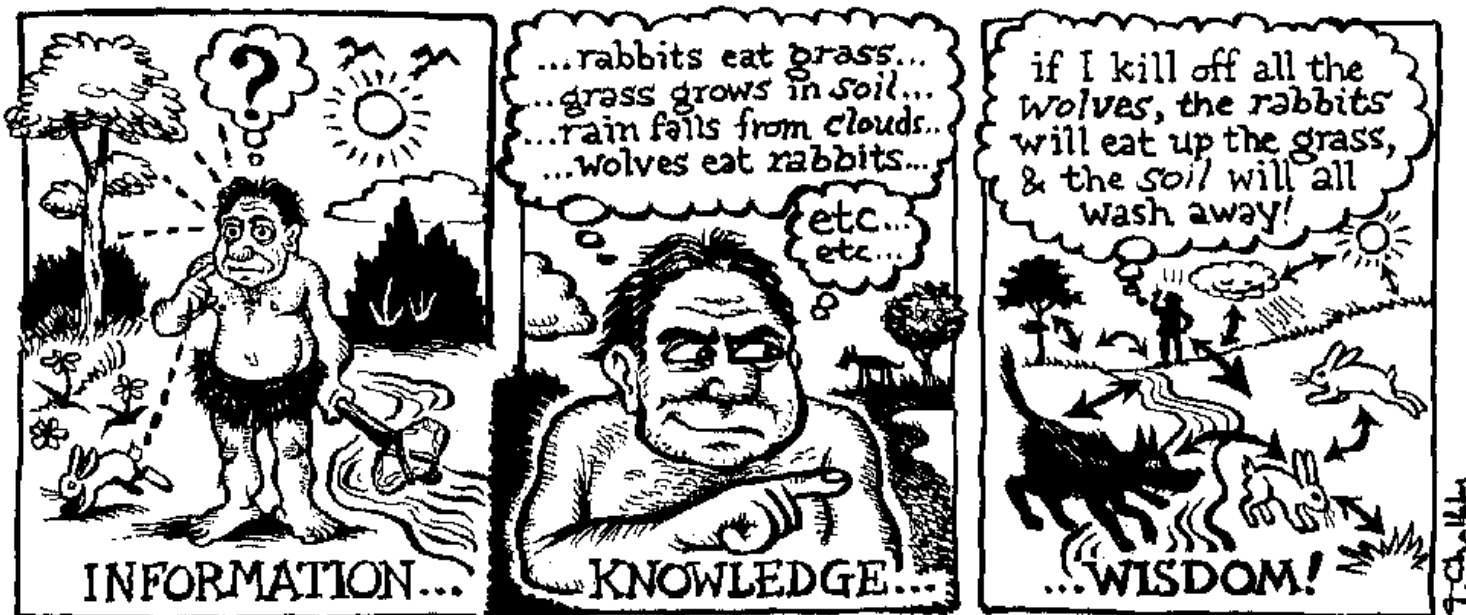
23/03/16 - Q&As on AIR technical template and reporting on results - Dicembre 2015
Autore: UE

23/03/16 - SFC2014 EAFRD AIR technical guidance - Draft Febbraio 2016

<http://www.reterurale.it/>

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