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WORKING PAPER

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WORKING DOCUMENT

From:	General Secretariat of the Council
To:	Working Party on Horizontal Agricultural Questions (CAP Reform)
N° Cion doc.:	9645/18 + COR 1 + ADD 1
Subject:	Proposal for a Regulation on CAP Strategic Plans - Updated fiches on output indicators

Delegations will find attached a revised version of the updated fiches on output indicators prepared by the Commission. The changes compared to the previous version are limited to O.18 and O.20.

Agricultural Knowledge and Innovation Systems (AKIS)	Output indicators
European Innovation Partnership	O.1 Number of EIP operational groups
for agricultural knowledge and innovation (EIP)**	O.2 Number of advisors setting up or participating in EIP operational groups

Broad type of intervention	Output indicators (per intervention)
CAP support	O.3 Number of CAP support beneficiaries
	O.4 Number of ha for decoupled DP
	O.5 Number of beneficiaries for decoupled DP
Decoupled direct support	O.6 Number of ha subject to enhanced income support for
	young farmers
	O.7 Number of beneficiaries subject to enhanced income
	support for young farmers
Risk management tools	O.8 Number of farmers covered by supported risk
	management instruments
Coupled support	O.9 Number of ha benefitting from coupled support
	O.10 Number of heads benefitting from coupled support
Payments for natural constraints	O.11 Number of ha receiving ANC top up (3 categories)
and other region specific	O.12 Number of ha receiving support under Natura 2000 or
constraints	the Water Framework Directive
	O.13 Number of ha (agricultural) covered by
	environment/climate commitments going beyond mandatory
	<u>requirements</u>
Payments for management	O.14 Number of ha (forestry) covered by environment/climate
commitments (environment- climate, genetic resources,	commitments going beyond mandatory requirements
animal welfare)	O.15 Number of ha with support for organic farming
	O.16 Number of livestock units covered by support for animal
	welfare, health or increased biosecurity measures
	O.17 Number of projects supporting genetic resources
	O.18 Number of supported on-farm productive investments
	0.19 Number of supported local infrastructures
Investments	O.20 Number of supported non-productive investments
	0.21 Number of off-farm productive investments
	0.22 Number of farmers receiving installation grants
Installation grants	0.23 Number of rural entrepreneurs receiving installation
_	grants
	0.24 Number of supported producer groups/organisations
	0.25 Number of farmers receiving support to participate in EU
Cooperation	<u>quality schemes</u>
	O.26 Number of generational renewal projects (young/non-
	young farmers)

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	O.27 Number of local development strategies (LEADER)
	O.28 Number of other cooperation groups (excluding EIP
	reported under O.1)
Knowledge exchange and information	O.29 Number of farmers trained/given advice
Information	O.30 Number of non-farmers trained/given advice
	O.31 Number of ha under environmental practices (synthesis
	indicator on physical area covered by conditionality, ELS,
Horizontal indicators	AECM, forestry measures, organic farming)
	O.32 Number of ha subject to conditionality (broken down by
	GAEP practice)
	O.33 Number of producer organisations setting up an
	operational fund/program
Costorial programmer	O.34 Number of promotion and information actions, and
Sectorial programmes	market monitoring
	O.35 Number of actions for beekeeping
	preservation/improvement

*Support to operational Groups under EIP falls under the provisions of cooperation.

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Indicator name	O.1: Number of EIP Operational Groups
Definition	The total number of EIP Operational Group (OG) projects that receive support under one or more of the interventions set out in the CAP strategic plan in the Financial Year concerned.
Types of intervention	The following type of intervention is concerned:
concerned	• Cooperation to prepare or implement EIP OG projects in accordance with Article 114 (Article 71)
Methodology	The number of EIP OG projects, receiving a payment in the Financial Year concerned, should be reported by unit amount .
	If only a part of the committed amount for an operation was paid in the Financial Year concerned, only a partial output is to be reported (see cover note).
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)
	Remark:
	For these aggregates, there should be <u>no double counting</u> .
Unit of measurement	Number of OG projects
Comments/caveats	The preparation of the OG project as foreseen in Article 13 ('setting up' = drawing up a plan for an innovative project to be developed, as also mentioned in Article 114') counts as a separate operation and can be paid as soon as it is finished and a fully-fledged project proposal is ready for selection. The implementation of the OG project (after selecting it) is another operation. So it is possible to pay for the preparation operation only, and before the project itself is finished.
	Note that there is always a one-to-one relationship between an EIP OG and its innovative project. An EIP OG can run one project only: the objectives and activities of the OG project are distinctive for that OG. Thus, two different projects are always run by two different EIP OGs, no matter the OG's composition.

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Indicator name	O.2: Number of advisors setting up or participating in EIP Operational Groups (OGs)
Definition	Number of advice actions to help for preparing and/or implementing EIP Operational Group (OG) projects paid in the Financial Year concerned (excluding other type of advice actions reported under O.29).
Types of intervention concerned	Only the following type of intervention is concerned:
	Knowledge exchange and information (Article 72)
	This indicator concerns support for advice for innovation purposes incentivising emergence and running of the EIP OG project This support refers either to the project preparation period or to the facilitation during the project implementation of the EIP Operational Group project (or both), and <u>only</u> if the advisor is not paid by the OG project budget (Article 71), but by an intervention under Article 72.
Methodology	The advice actions, paid in the Financial Year concerned, in the frame of innovation support under Article 72, shall be reported per unit amount .
	If only a part of the committed amount for an operation was paid in the Financial Year concerned only a partial output is to be reported (see cover note)
Methodology for the aggregated values	<i>Following aggregates should be provided:</i> -By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)
Unit of measurement	Number of advice actions
Comments/caveats	The rationale for this indicator is to measure specific advisory efforts under Article 72 to incentivise innovation, e.g. those in accordance with Article 13(4)(e), i.e. an advisor delivering "innovation support in particular for preparing and for implementing OG projects". Examples could be providing specific advisory services for innovation support, such as coaching farmers towards innovation on their farm, managing an innovation hub, which helps setting up of facilitating OG innovative projects.
	Support to advisors under Article 71 as part of the funding for the OG project is not included here. These cases are covered under O.1, because the advisor in that case is paid from the OG project budget.

DISCLAIMER

Indicator name	O.3: Number of CAP support beneficiaries
Definition	The number of CAP support beneficiaries in a Member State i.e. that
	received support in the Financial Year concerned under one or more of
	the interventions set out in the CAP strategic plan.
Types of interventions concerned	The following types of interventions are concerned:
	All CAP interventions set out in the CAP strategic plan by the MS in the form of :
	direct payments
	rural development
	sectoral types of interventions
Methodology	Number of beneficiaries who received a payment in Financial Year, per intervention (without double counting).
	In the case of types of intervention for rural development, beneficiaries are defined in Article 3(h).
Methodology for aggregates	Following aggregates should be provided:
	 Total number of farmers receiving directly support Total number of farmers beneficiaries of direct payments (Chapter II of Title III)
	Remarks:
	 For these aggregates, there should be <u>no double counting</u>: i.e. where a beneficiary benefits from multiple interventions, that beneficiary should be counted only once in the aggregate. Only farmers directly receiving financial support are reported here. In other words, farmers benefitting indirectly from CAP support through actions of producer organisations (PO), training and advice actions, projects implemented under Local Development Strategies (CLLD/Leader), mutual fund, are not taken into account in this indicator.
Unit of measurement	Number of beneficiaries
Comments/caveats	 In order to provide these data there will be a need for a unique identifier of each beneficiary (used for all support applications) to avoid double counting between Direct Payments, Rural Development and sectoral types of interventions. Beekeepers are accounted as farmers. This indicator is not used for performance clearance.

DISCLAIMER

Indicator name	O.4: Number of hectares for decoupled direct payments
Definition	The number of hectares paid for the interventions under decoupled direct payments' in the Financial Year concerned.
Types of intervention concerned	The following types of interventions are concerned:
	• The Basic income support for sustainability (BISS) including the round-sum payment for small farmers (Section 2, Subsection 2)
	• The complementary redistributive income support for sustainability (CRISS) (Article 26)
	• The complementary income support for Young Farmers (Article 27)
	• The schemes for the climate and environment (eco-schemes) (Article 28)
Methodology	The number of hectares, paid in Financial Year concerned, shall be reported per decoupled direct payment unit amount .
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)
	- The number of hectares for decoupled Direct Payments taken together (i.e. the physical area paid for decoupled Direct Payments)
	Remark:
	For these aggregates, there should be <u>no double counting</u> : i.e. where the same hectare benefits from support under multiple decoupled direct payments interventions, that hectare should be counted only once for the aggregate.
Unit of measurement	Number of hectares
Comments/caveats	- The output indicator used for the annual clearance will be the number of hectares by intervention (or within an intervention, per unit amount) for all decoupled payments except for the round-sum payment for small farmers for which the number of beneficiaries will be used (see 0.5). The number of hectares for the round-sum payment for small farmers will be used for monitoring purposes.

DISCLAIMER

Indicator name	O.5: Number of beneficiaries for decoupled DP
Definition	The number of CAP support beneficiaries in a Member State that received
	support in the Financial Year concerned from one or more of
	interventions under decoupled direct payments set out in the CAP
	strategic plan.
Types of interventions	The following types of interventions are concerned:
concerned	The Basic income support for sustainability (BISS) including the
	round-sum payment for small farmers (Section 2, Subsection 2)
	 The complementary redistributive income support for
	sustainability (CRISS) (Article 26)
	The complementary income support for Young Farmers (Article
	27)
	• The schemes for the climate and environment (eco schemes)
	(Article 28)
Methodology	Beneficiary for decoupled direct payments =
	The number of beneficiaries of a decoupled direct payment intervention
	in Financial Year concerned per intervention .
	Generally, beneficiaries of direct payments in the Financial year
	concerned are accounted in full (see cover note). However, if
	exceptionally the round-sum payment for small farmers was not entirely
	paid within the Financial Year, beneficiaries should be counted in
	proportion to the share of payment (see comments/caveats).
Methodology for the	Following aggregates should be provided:
aggregated values	- The number of beneficiaries of decoupled direct payments taken
	together.
	Remark:
	For this aggregate, there should be <u>no double counting</u> : i.e. where a same
	beneficiary benefits from multiple interventions, that beneficiary should
	be counted only once in the aggregate.
Unit of measurement	Number of beneficiaries
Comments/caveats	The detail by unit amount is not requested for this indicator.
	If exceptionally the round-sum payment for small farmers was not entirely
	paid within the Financial Year concerned, for the purpose of the
	performance clearance, the number of beneficiaries of the Round-Sum
	payment for small farmers should be provided taking into account partial
	payments, because this direct payment is defined per farmer and thus the
	performance clearance is done per farmer.
	The partial number of beneficiaries to be reported corresponds to the
	share of the payment in the Financial Year concerned in the total amount
	to be paid. For example, for a small farmer receiving only 90% of the
	payment in the Financial Year concerned, the reported output is 0.9.
	By contrast, for the aggregated number of beneficiaries of decoupled
	payments, the beneficiaries of the round-sum payment for small farmers
	are accounted in full.

DISCLAIMER

Indicator name	O.6: Number of ha subject to enhanced income support for young
	farmers
Definition	The number of hectares paid under complementary income support for
	Young Farmers in the Financial Year concerned.
Types of intervention concerned	The following type of intervention is concerned:
	 The complementary income support for Young Farmers (Article 27)
Methodology	The number of hectares paid in the Financial Year concerned shall be
	reported.
Unit of measurement	Number of hectares
Comments/caveats	The value of this indicator should be equal to the value provided under
	O.4 for the complementary income support for Young Farmers.

DISCLAIMER

Indicator name	0.7: Number of beneficiaries subject to enhanced income support for	
	young farmers	
Definition	The number of CAP support beneficiaries that received support under	
	complementary income support for Young Farmers in the Financial Year	
	concerned.	
Types of interventions	The following intervention is concerned:	
concerned		
	• The complementary income support for Young Farmers (Article	
	27)	
Methodology	Beneficiary =	
	The number of farmers receiving complementary income support for	
	Young Farmers in the Financial Year concerned.	
Unit of measurement	Number of beneficiaries	
Comments/caveats	The value of this indicator should be equal to the value provided under	
	O.5 for the complementary income support for Young Farmers.	
	This indicator is not used for performance clearance.	

DISCLAIMER

Indicator names	O.8: Number of farmers covered by supported risk management
	instruments
Definition	The total number of farmers or units covered in the Financial Year concerned by one or more of the interventions designed in the CAP strategic plan as crisis prevention and risk management instruments that contribute to ensuring a coherent and integrated approach to risk management in accordance with Article 97(2)(e).
Types of intervention	The following interventions are concerned:
concerned	Risk management tools (Article 70)
	 Sectoral types of interventions: For F&V: Article 43(2)(a), (d), (e), (f) and (g); For wine sector: Article 52 (1)(c),(d) and (i); For hops, olives oil and table olives sector and 'other sectors' defined in Article 39: Article 60(2)(a), (c), (e), (f), (g) and (h) If financial instruments are used to provide farmers with working capital, the output should be reported here.
Methodology	 The number of farmers or units paid in the Financial Year concerned, shall be reported per unit amount. The 'units' refer to the beneficiary of the support (e.g. <u>farmers</u> for premiums for insurance schemes, <u>funds</u> for mutual funds, <u>hectares</u> for green and non-harvesting, <u>tonnes</u> for withdrawals). If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)
	<i>Remark</i> : Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of farmers, hectares, funds or any other units
Comments/caveats	Investments for management of volumes shall be reported under 0.21. Replanting of orchards following grubbing-up due to phytosanitary reasons shall be reported under 0.18.

DISCLAIMER

Indicator name	O.9: Number of hectares benefitting from coupled support
Definition	The number of hectares paid under each area-related Coupled Income
	Support (CIS) intervention in the Financial Year concerned.
	For interventions under coupled income support that target oilseed
	crops covered by the Blair House Agreement, the area for which
	support has been actually paid should be reported as a separate output pursuant to Article 33(4).
Types of intervention	All area-related interventions under coupled income support (Section
concerned	3, Subsection 1)
Methodology	The number of hectares, paid in the Financial Year concerned, shall be
	reported for each area-related CIS intervention .
	In case within one CIS intervention several unit amounts are defined
	(e.g. by farm size), the number of hectares paid per unit amount shall be reported.
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within intervention several unit amounts are defined)
	- A number of hectares for groups of CIS interventions covering the same sector (i.e. the physical area paid for CIS, per sector). The sectors are set out in Article 30).
	- A total number of hectares under CIS interventions
	Remark:
	For these aggregates, there should be no double counting: i.e. where
	the same hectare benefits from support under multiple coupled
	income support interventions, that hectare should be counted only
	once for the aggregate.
Unit of measurement	Number of hectares
Comments/caveats	It is possible for Member States to pay on the basis of units other than
	hectares, where appropriate (e.g. acres; decares; etc). However,
	planning in the CAP plan and reporting in the annual performance report should be done based on the number of hectares.

DISCLAIMER

Indicator name	O.10: Number of heads benefitting from coupled support					
Definition	The number of heads paid for the interventions under each animal-					
	related Coupled Income Support (CIS) intervention in the Financial Year					
	concerned.					
Types of intervention	The following types of intervention are concerned:					
concerned						
	• All animal-related interventions under coupled income support					
	(Section 3, Subsection 1)					
Methodology	The number of heads paid in the Financial Year concerned should be					
	reported for each animal-related CIS intervention and if relevant per					
	unit amount.					
Methodology for the	Following aggregates should be provided:					
aggregated values	 A number of heads for animal-related CIS per sector 					
	(i.e. the physical number of heads paid for coupled income support,					
	without double counting, in each sector). The sectors are set out in					
	Article 30.					
Unit of measurement	Number of heads					
	Exception: kg of cocoons for silkworms					
Comments/caveats	Where appropriate, it is possible for Member States to pay on the basis					
	of another unit than heads of animals (e.g. livestock units). However,					
	planning in the CAP plan and reporting the annual performance report					
	should be done based on a number of heads. In such cases, a					
	conversion key between the unit for payment (LU) and the number of					
	heads should be defined and used consistently.					

DISCLAIMER

Indicator name	O.11: Number of ha receiving ANC top up (3 categories)					
Definition	The number of hectares paid under Natural or other area-specific					
	constraints interventions, in the Financial Year concerned.					
Types of intervention	The following types of interventions are concerned:					
concerned						
	Natural or other area-specific constraints (Article 66)					
Methodology	The number of hectares, paid in Financial Year concerned, shall be reported for the 3 area categories designated pursuant to Article 32 of Regulation (EU) No 1305/2013, covered by an intervention in the CAP strategic plan:					
	(a) mountain areas;					
	(b) areas, other than mountain areas, facing significant natural constraints; and					
	(c) other areas affected by specific constraints.					
	The number of hectares paid shall be reported per unit amount .					
	If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note)					
Methodology for the	Following aggregates should be provided :					
aggregated values	- Total number of hectares paid under Natural or other area-specific					
	constraints, in the Financial Year concerned					
Unit of measurement	Number of hectares					
Comments/caveats						

DISCLAIMER

Indicator name	O.12: Number of ha receiving support under Natura 2000 or			
	Water Framework Directive			
Definition	The number of hectares paid under Area-specific disadvantages			
	resulting from certain mandatory requirements interventions, in the			
	Financial Year concerned.			
Types of intervention	The following type of intervention is concerned:			
concerned				
	Area-specific disadvantages resulting from certain mandatory			
	requirements (Article 67)			
Methodology	The number of hectares paid in the Financial Year concerned shall be			
	reported for the following areas, covered by an intervention in the CAP			
	strategic plan:			
	(a) Natura 2000 agricultural and forest areas;			
	(b) other delimited nature protection areas with environmental			
	restrictions applicable to farming or forests;			
	(c) agricultural areas included in river basin management plans			
	pursuant to Directive 2000/60/EC (Water Framework Directive).			
	The number of besteres paid shall be reported per unit amount			
	The number of hectares paid shall be reported per unit amount.			
	If only a partial payment was made in the Financial Year concerned,			
	only a partial output is to be reported (see cover note)			
Methodology for the	Following aggregates should be provided :			
aggregated values	By intervention (if relevant, i.e. when within one intervention			
	several average unit amounts are defined)			
Unit of measurement	Number of hectares			
Comments/caveats				

DISCLAIMER

Indicator names	0.13: Number of ha (agricultural) covered by environment/climate					
	commitments going beyond mandatory requirements					
Definition	The total number of hectares (excluding forestry) covered by environment/climate commitments specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.					
Types of intervention concerned	 The following types of interventions are concerned: Environmental, climate and other management commitmen (Article 65): payments for environment/climate commitments. 					
	 Sectoral types of interventions: For F&V: Article 43(1),(d) to (j) and (l); For wine sector: Article 52(1)(a); For hops, olive oil and table olives and 'other sectors' as defined in Article 39: Article 60(1)(a)(i), (ii), (iii), (vii), (viii), (ix), (xi). 					
Methodology	The number of hectares paid in the Financial Year concerned shall be					
Methodology for the	 reported per unit amount. In case within one intervention several unit amounts are defined, the number of ha paid per unit amount shall be reported. If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note) Following aggregates should be provided : 					
aggregated values	 By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) The number of ha (excluding forestry) under environmental or climate commitments (the physical area paid for environmental or climate commitments) Remark: For these aggregates, there should be <u>no double counting</u>: i.e. where the same hectare benefits from support under multiple environmental or climate or climate commitments, that hectare should be counted only once for the aggregate. 					
Unit of measurement	Number of hectares					
Comments/caveats	Forestry area covered by environment/climate commitments shall be reported under O.14. Any other non-agricultural area covered by these commitments is included in O.13.					
	Area under organic commitments shall be reported under O.15. For sectoral types of interventions not included in LPIS, if the administrative burden to avoid double counting is too high, Member States might simply add the paid area to the sum of hectares paid under rural development interventions.					

DISCLAIMER

Indicator names	O.14: Number of ha (forestry) covered by environment/climate
	commitments going beyond mandatory requirements
Definition	The total number of hectares (forestry) covered by environment/climate commitments specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.
Types of intervention concerned	 The following types of interventions are concerned: Environmental, climate and other management commitments (Article 65): Payments for forest environment/climate commitments.
Methodology	The number of hectares paid in the Financial Year concerned, shall be reported per unit amount . If only a partial payment was made in the Financial Year concerned, only a
	partial output is to be reported (see cover note)
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) The number of hectares (forestry) under environmental or climate commitments (i.e. the physical area paid for environmental or climate commitments)
	 <i>Remark</i>: For these aggregates, there should be <u>no double counting</u>: i.e. where the same hectare benefits from support under multiple environmental or climate commitments, that hectare should be counted only once for the aggregate.
Unit of measurement	Number of hectares
Comments/caveats	

DISCLAIMER

Indicator names	0.15: Number of ha with support for organic farming					
Definition	The total number of units, hectares or Livestock Units (LU) with support for organic farming specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.					
Types of intervention concerned	 The following types of interventions are concerned: Environmental, climate and other management commitments (Article 65): payments for commitments to convert to or maintain organic farming practices. Sectoral types of interventions: For the F&V sector: Article 43 (1)(c) For hops, olives oil and table olives sector and 'other sectors' as defined in Article 39: Article 60(1)(d) 					
Methodology	The number of units (ha, LU) paid in the Financial Year concerned, shall be reported per unit amount . If only a partial payment was made in Financial Year concerned, only a					
Methodology for the aggregated values	 partial output is to be reported (see cover note) Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) Total number of ha Total number of LU 					
Unit of measurement	Number of hectares or number of LU					
Comments/caveats						

DISCLAIMER

Indicator names	O.16: Number of livestock units covered by support for animal welfare, health or increased biosecurity measures					
Definition	The number of livestock units (LU) covered by management commitments for animal welfare, health or increased biosecurity measures specified in the CAP Strategic Plans for which a payment was made in the Financial Year concerned.					
Types of intervention concerned	 The following types of interventions are concerned: Environmental, climate and other management commitments paid per LU (Article 65): animal welfare commitments Sectoral types of interventions: Actions paid per LU for animal health and welfare (Article 					
Methodology	60(1)(a)(vi)) The number of Livestock units (LU) paid in the Financial Year concerned, shall be reported per unit amount .					
	In case within one intervention several unit amounts are defined, the number of LU paid per unit amount shall be reported. If only a partial payment was made in the Financial Year concerned, only a partial output is to be reported (see cover note).					
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) The number of LU under commitments for animal welfare, health or increased biosecurity measures (without double counting) 					
Unit of measurement	Number of LU					
Comments/caveats	The coefficients to convert animal numbers in Livestock Units are published by EUROSTAT, see <u>here</u> .					
	Investments related to animal welfare, animal health and biosecurity shall be reported under O.18.					

DISCLAIMER

Indicator names	O.17: Number of projects supporting genetic resources
Definition	The total number of operations supporting genetic resources, livestock units (LU) of endangered breeds and hectares of endangered plant varieties covered by supported management commitments paid in the Financial Year concerned.
Types of intervention concerned	 The following type of intervention is concerned: Environmental, climate and other management commitments (Article 65): payments for endangered breeds/plants varieties and genetic resources.
Methodology	The number of operations, LU and hectares paid in the Financial Year concerned, shall be reported per unit amount.If only a partial payment was made in Financial Year concerned, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)
Unit of measurement	Number of relevant unit: operations, LU or hectares
Comments/caveats	

DISCLAIMER

Indicator names	O.18: Number of supported on-farm productive investments
Definition	The total number of supported on-farm productive investments operations for which a payment (grants, financial instruments ¹ or a combination of both) was made in the Financial Year concerned. In the case of sectoral types of intervention implemented through operational programmes of producer organisations (PO), on-farm productive investments are those implemented at farm (PO members) level while productive investments at the level of the PO are off-farm productive investments. The latter shall be reported under O.21.
Types of interventions concerned	The following types of interventions are concerned:Investments: Article 68
	 Sectoral types of interventions For F&V sector: Article 43(1)(a), (2)(b) and (2)(c), For wine sector: Article 52(1) (a), (b) and (e), For hops, olives oil and table olives sector and 'other sectors' defined in Article 39: Article 60(1)(a), (2)(b) and (2)(c).
Methodology	The number of supported on-farm productive investments operations for which payments were made in the Financial Year concerned shall be reported per average unit amount.
	If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	Following aggregates should be provided :
	 By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) A total number of supported on-farm productive investment operations
	<i>Remark</i> : Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of investment operations <u>or units²</u>
Comments/caveats	In their CAP plans, MS should link their interventions to those output indicators they consider relevant. Generally, on-farm investments are related to agricultural activities. Productive investments generally lead to an increase in profitability or value. In the majority of cases, it will be

¹ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans.

² This reflects the changes to Annex I proposed by the Romanian Presidency

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This document is only intended to facilitate the work of the working party on horizontal questions in the context of the ordinary legislative procedure. This document does not anticipate any content of any legislative act. It has no interpretative value.

evident to v	vhich c	ateg	ory an inve	estm	ent bel	ongs to. H	low	ever, wher	e an
investment	could	be	classified	as	either	on-farm	or	off-farm,	the
Commission	will ac	cept	the classifi	cati	on prop	osed by th	ne M	1S.	

DISCLAIMER

Indicator names	O.19: Number of supported local infrastructures
Definition	The total number of supported investments in infrastructure for which a payment (grants, financial instruments ³ or a combination of both) was made in the Financial Year concerned.
Types of interventions concerned	 The following type of interventions is concerned: Investments (Article 68)
Methodology	The number of supported infrastructure operations for which payments were made in the Financial Year concerned shall be reported per average unit amount .
	If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) A total number of supported local infrastructure investment operations Remark: Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of investment operations.
Comments/caveats	

³ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans. DISCLAIMER

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Indicator names	O.20: Number of supported non-productive investments
Definition	The total number of supported non-productive investment operations for
	which a payment was made in the Financial Year concerned.
Types of interventions concerned	The following types of interventions are concerned:
	Investments: Article 68
	Sectoral types of intervention
	 For F&V sector: Article 43(1)(a)
	 For wine sector: Article 52(1)(a)
	 For hops, olives oil and table olives sector and 'other
	sectors' as defined in Article 39: Article 60(1)(a) with
	regard to investments
Methodology	The number of supported non-productive investment operations for which payments have been made in the Financial Year concerned shall be
	reported per average unit amount .
	If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	Following aggregates should be provided :
	- By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined)
	- A total number of supported non-productive investment operations
Unit of measurement	Number of investment operations or units ⁴
Comments/caveats	In general, non-productive investments are investments that do not lead to any significant increase in value or profitability. Typically, they aim at purely environmental improvements and are linked to the achievement of agri-environment-climate objectives set out in points (d), (e) and (f) of Article. 6(1).
	Examples would be the creation and/or restoration of landscape features, such as wetlands, hedges, dry-stone walls and traditional boundaries, or the creation and/or restoration of habitat or landscape elements, such as heathland, species-rich grassland or floristically enhanced grass margins.

⁴ This reflects the changes to Annex I proposed by the Romanian Presidency DISCLAIMER

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Indicator names	O.21: Number of off-farm productive investments
Definition	The total number of supported off-farm productive investment operations for which a payment (grants, financial instruments ⁵ or a combination of both) that was made in the Financial Year concerned.
Types of intervention concerned	 The following types of interventions are concerned: Investments: Article 68 Sectoral types of intervention For F&V sector: Articles 43(1)(a), (2)(b) and (2)(c), For wine sector: F52(1) (a), (b) and (e), For hops, olives oil and table olives sector and 'other sectors' as defined in Article 39: Articles 60(1)(a), (2)(b) and (2)(c).
Methodology	 The number of supported off-farm productive investment operations for which payments were made (advances excluded) in the Financial Year concerned shall be reported per average unit amount. If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined) A total number of supported on-farm productive investment operations Remark: Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement Comments/caveats	Number of investment operationsIn the case of sectoral types of intervention implemented through operational programmes of producer organisations (PO), off-farm productive investments are implemented at the level of producer organisation (PO), while on-farm productive investments are implemented at farm level by PO members. The latter shall be reported under 0.18.In their CAP plans, MS should link their interventions to those output indicators they consider relevant. Generally, off-farm investments are related to non-agricultural activities. An investment is productive if it leads to an increase in profitability or value. In the majority of cases, it will be evident to which category an investment belongs. However, where an

⁵ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans DISCLAIMER

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Indicator names	O.22: Number of farmers receiving installation grants
Definition	The total number of young farmers receiving installation support (grants, financial instruments ⁶ or a combination of both) under one or more interventions specified in the CAP Strategic Plan in the Financial Year concerned.
Types of interventions concerned	 The following type of interventions is concerned: Installation of young farmers and rural business start-up : installation of young farmers (Article 69(2)(a))
Methodology	The number of farmers supported in the Financial Year concerned, shall be reported per unit amount . If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) The number of young farmers granted installation support (without double counting) Remark: Beneficiaries of a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of farmers
Comments/caveats	

⁶ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans.

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Indicator names	0.23: Number of rural entrepreneurs receiving installation grants
Definition	The total number of rural businesses receiving start-up support (grants, financial instruments ⁷ or a combination of both) in the Financial Year concerned.
Types of intervention concerned	 The following type of interventions is concerned: Installation of young farmers and rural business start-up (Article 69): the start-up of rural businesses linked to agriculture and forestry or farm household income diversification (Article 69(2)(b))
Methodology	The number of rural businesses supported in the Financial Year concerned, shall be reported per unit amount . If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined). Remark: Businesses receiving a combination of installation grant and financial instruments should be counted only once in the total.
Unit of measurement	Number of rural businesses
Comments/caveats	

⁷ In case of guarantee financial instruments, guarantee commitments linked to disbursed loans DISCLAIMER

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Indicator names	O.24: Number of supported producer groups/organisations
Definition	The number of producer groups/organisations for which a payment under
	cooperation was made in the Financial Year concerned.
Types of interventions concerned	The following type of interventions is concerned:
	Cooperation (Article 71)
	Paid to producer groups/organisations, where they are the beneficiary of
	cooperation support (except other forms of cooperation such as
	cooperations of producer groups/ organisations reported under O.28).
Methodology	The number of producer groups/organisations supported under Article 71 and paid in the Financial Year concerned, shall be reported per intervention.
	In case within one intervention several unit amounts are defined, the number of producer groups/organisations paid per unit amount shall be reported.
	If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)
	- The number of producer groups/organisations receiving support
	(without double counting)
Unit of measurement	Number of producer groups/organisations
Comments/caveats	The indicator covers (in accordance with Article 71(4))
	- Beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as
	- Beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.
	 Producer Organisations setting up an operational fund/program are captured by 0.33 and should thus not be included in 0.24. Producer Organisations receiving support only through joint
	cooperation are not included here (but in 0.28).

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Indicator names	0.25: Number of farmers receiving support to participate in EU quality
	schemes
Definition	The number of farmers participating in quality schemes for which a
	payment was made in the Financial Year concerned.
Types of interventions	The following types of interventions are concerned:
concerned	Cooperation (Article 71)
	Sectoral types of interventions:
	 For F&V: (Article 43(1)(m), 43(2)(j)
	 For hops, olive oil and table olives sector and 'other sectors' as defined in Article 39: Article 60(1)(g).
Methodology	The number of farmers paid to participate in quality schemes in the Financial Year concerned shall be reported per unit amount .
	Thancial real concerned shall be reported per unit anount .
	If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one f intervention several unit amounts are defined)
	- The total number of farmers receiving support to participate in quality schemes
Unit of measurement	Number of farmers
Comments/caveats	The indicator covers (in accordance with Article 71(4))
	- beneficiaries of support as an overall amount (covering the cost of
	cooperation as well as the cost of the projects and operations
	implemented), as well as
	- beneficiaries of support covering only the cost of the operation
	but using funds from other types of interventions for project
	implementation (e.g. investments). In this case, however, the project
	implementation is covered by the corresponding indicators (e.g. for
	investments), and not by this indicator.

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Indicator names	O.26: Number of generational renewal projects (young/non-young
	farmers)
Definition	The number of operations for generational renewal for which a payment
	under cooperation was made in the Financial Year concerned.
Types of intervention	The following type of interventions is are concerned:
concerned	Cooperation (Article 71)
Methodology	The number of generational renewal projects paid under Article 71 in the
	Financial Year concerned shall be reported per unit amount
	If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note)
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one intervention
aggregated values	several unit amounts are defined)
	- The total number of projects with support for generational
	renewal under Article 71.
Unit of measurement	Number of projects
Comments/caveats	The indicator covers (in accordance with Article 71(4))
	 beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.

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Indicator names	O.27: Number of local development strategies (LEADER)
Definition	The number of local development strategies prepared or implemented for
	which a payment under cooperation was made in the Financial Year
	concerned.
Types of intervention	The following type of interventions are concerned:
concerned	Cooperation (Article 71)
Methodology	The number of local development strategies for which a payment was made in the Financial Year concerned shall be reported per unit amount .
	Cooperation support to implement local development strategies is paid progressively over the programming period. The output reported should correspond to the share of the amount paid in the Financial Year concerned in the committed amount to be paid for that local development strategies.
	E.g. for a payment of 25% of the committed amount, 0.25 of output is to be reported.
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) The total number of local development strategies for which preparatory support was paid The total number of local development strategies whose implementation was supported
Unit of measurement	Number of local development strategies
Comments/caveats	The indicator covers (in accordance with Article 71(4))
	 beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.

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Indicator names	O.28: Number of other cooperation groups (excluding EIP reported
	under O.1)
Definition	The number of other forms of cooperation projects for which a payment under cooperation was made in the Financial Year concerned (excluding EIP operational groups reported under O.1 and all cooperation groups reported under O.24 to O.27)
Types of intervention concerned	The following type of intervention is concerned:Cooperation (Article 71)
Methodology	 The number of other form of cooperation projects paid in the Financial Year concerned, shall be reported per unit amount (excluding EIP operational groups reported under O.1 and all cooperation groups reported under O.24 to O.27). If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the aggregated values	 Following aggregates should be provided : By intervention (if relevant, i.e. when within one intervention several unit amounts are defined) The total number of other cooperation group projects
Unit of measurement	Number of cooperation projects
Comments/caveats	 The indicator covers (in accordance with Article 71(4)) beneficiaries of support as an overall amount (covering the cost of cooperation as well as the cost of the projects and operations implemented), as well as beneficiaries of support covering only the cost of the operation but using funds from other types of interventions for project implementation (e.g. investments). In this case, however, the project implementation is covered by the corresponding indicators (e.g. for investments), and not by this indicator.

DISCLAIMER

Indicator names	O.29: Number of farmers trained/given advice
Definition	The total number of information, training and advice actions (including setting-up) carried out for farmers and non-farmers (excluding advice actions reported under O.2) in the Financial Year concerned.
Types of intervention	The following types of interventions are concerned:
concerned	Knowledge exchange and information (Article 72)
	Sectoral types of intervention:
	 For F&V sector: Article 43(1)(o) and (p)
	 For hops, olives oil and table olives sectors and 'other sectors' as defined in Article 39: Article 60(1)(b) and (c)
	 For wine sector: Article 52(1)(e)
Methodology	The number of information, training and advice (including setting-up) actions for which a payment was made in the Financial Year concerned shall be reported per unit amount
	If one or more instalments are paid before the final payment for an operation, only a partial output is to be reported (see cover note).
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one intervention several average unit amounts are defined)
	- Total number of training and advice actions carried out for farmers
	- Total number of training and advice actions carried out for non-farmers
	- Total number of training and advice actions carried out
Unit of measurement	Number of information, training and advice actions
Comments/caveats	

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Indicator name	0.31 Number of ha under environmental practices (synthesis indicator
	on physical area covered by conditionality, ELS, AECM, forestry
	measures, organic farming)
Definition	The physical number of hectares under conditionality with regard to GAEC and other interventions promoting practices beneficial for environment and climate with CAP support.
Types of intervention*	All agricultural and forestry area under practices beneficial for
concerned ⁸	 environment and climate due to: Conditionality with regard to GAEC Eco-schemes Agri-and forest- environmental, climate and other management commitments Sectoral types of intervention
Methodology	 Total number of hectares subject to conditionality and hectares for which interventions listed above were paid in the Financial year concerned. Hectares are accounted only once for this indicator (i.e. physical area, without double counting). A practical example is indicated in the next page. Three sub-indicators shall be calculated according to definition of the indicator and the methodology: Total agricultural area under environmental practices (under conditionality or paid for the interventions listed above) Total agricultural area paid for the interventions listed above (i.e. excluding the area only under GAEC – as measured under indicator 0.32)
	Total forestry area paid for the interventions listed above
Unit of measurement	Number of hectares
Comments/caveats	All eligible area subject to conditionality shall be reported under this indicator. In case the Member State will apply a pro rata system on permanent grassland, only eligible areas (e.g. after the application of pro rata) should be reported.
	There is a risk of double counting between sectoral types of interventions and other interventions, as sectoral types of interventions are not in IACS (except for wine). However, the risk of double counting is estimated to be low, because often the 2 types of support are granted on different farms. Therefore the hectares concerned under sectoral types of interventions should simply be added to the hectares under other area-based payments.
	The same may be true of management commitments undertaken by beneficiaries who are not farmers.
	This indicator is not used for performance clearance.

⁸ Conditionality is included in this output indicator although it is not an intervention.

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Indicator name	O.32 Number of ha subject to conditionality (broken down by GAEP practice)
Definition	Number of hectares paid, in the Financial Year concerned, reported for each GAEC separate practice as indicated in Annex III. The total number of hectares in the scope of GAEC practices (e.g. areas eligible for decoupled direct payments) is not included in this indicator as already reported through indicator "O.4 Number of hectares for decoupled payments"
	For each GAEC the main land use (reference to Article 4 of the CAP plan Regulation, land use on which the GAEC could be applicable: arable land AL, permanent grassland PG, permanent crops PC) is indicated as a further breakdown of the indicator. This information is necessary to express the GAEC areas in its appropriate context (e.g. GAEC 1 PG ratio is applicable only to PG: if this information is not provided, the only possible comparison will be on total agricultural area).
	 Aggregated indicators At least one GAEC: areas on which at least one GAEC is applied (synthesis indicator) Aggregation by objectives (as defined in Annex 3) without double counting Climate change: GAEC 1 + 2 + 3 Water: GAEC 4 + 5 Soil: GAEC 6 + 7 + 8 Biodiversity: GAEC 9 + 10 Detailed indicators including breakdowns: GAEC 1:
	 PG areas used for the determination of the PG ratio Utilised agricultural area (UAA) used for the determination of the PG ratio PG ratio (PG/UAA) expressed in percentage GAEC 2: areas of peatland (AL, PG, PC) areas of wetlands (AL, PG, PC) GAEC 3: areas subject to the ban of burning arable stubble (AL) GAEC 4: areas of buffer strips (AL, PG, PC) GAEC 5: areas subject to the use of FaST (AL, PG, PC) GAEC 6: areas (AL, PG, PC) subject to tillage management GAEC 7: areas (AL, PC) subject to no bare soil in sensitive periods (including normal winter crops which contribute to the requirement) GAEC 8: areas (AL) subject to crop rotation GAEC 9 (indicative breakdown based on current EFA types (LF: landscape features) is provided; an intermediate level could be elaborated aggregating some categories):

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	 (AL, PG, PC) Hectares of non productive areas/features used for the
	calculation of the share
	 Land lying fallow
	 Land lying fallow with melliferous plants
	 Terraces
	 LF - Hedges, wooded strips and trees in line
	 LF - Isolated trees
	 LF - Field copses
	 LF - Field margins/strips
	 LF - Ponds
	 LF - Ditches
	 LF - Traditional stone walls
	 LF - Other landscape features
	 Afforested areas
	 Areas subject to retention of landscape features
	(breakdown by type, see above, only for landscape
	features)
	• GAEC 10: areas (PG) subject to ban of converting/ploughing
Types of intervention	Conditionality with regard to GAEC practices
concerned ⁹	
Unit of measurement	Number of hectares , % for the PG ratio
Comments/caveats	- Data for SMR are not included in this indicator and in any other output
	indicator listed in Annex 1 because they originate in non CAP legislation
	- The total number of 'physical' hectares without double counting is also
	required, because it is key information to communicate and steer the
	policy. As GAEC areas are not included in any result indicators,
	aggregation by "main issue" (Annex 3) is essential to calculate GAEC
	areas by environmental objective.
	- In order to be consistent with the methodology applied for other
	indicators based on interventions and to simplify the calculation, only
	paid and eligible areas should be reported for this indicator, even if
	conditionality is applied on a broader base (e.g. including all
	agricultural land of the holding). In case the Member State will apply a
	pro rata system on permanent grassland, only eligible areas (e.g. after
	the application of pro rata) should be reported.
	- The possibility for Member States to use conversion and/or weighting
	factors for GAEC 4 and 9 should be taken in consideration. The
	indicator will be based at least on physical areas (not weighted)
	however also the weighted areas are necessary to compare with the %
	threshold.
	- Methodology and breakdowns proposed are based on the current
	experience on greening output indicators, including the breakdown by
	main land use. This indicator is not used for performance clearance.

⁹ Conditionality is included in this output indicator although it is not an intervention. DISCLAIMER

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Indicator name	O.33: Number of producer organisations setting up an operational programme
Definition	Total number of producer organisations, associations of producer organisations or transnational producer organisations to which payments relating to interventions under the same operational programme were made in the Financial Year concerned.
Types of intervention concerned	The following sectoral types of interventions are concerned:
	• For F&V sector: (Article 43) of SPR)
	• For and hops, olive oil and table olives sector and 'other sectors' as defined in Article 39: (Article 60 of SPR).
Unit of measurement	Number of producer organisations, associations of producer organisations or transnational producer organisations.
Comments/caveats	This indicator measures the total number of operational programmes implemented by producer organisations, associations of producer organisations or transnational producer organisations during the year in the concerned MS.
	This indicator is not used for performance clearance.

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Indicator names	0.34: Number of promotion and information actions and market
	monitoring
Definition	The total number of supported promotion and information actions under
	sectoral types of interventions in the Financial Year concerned.
Types of intervention	The following types of sectoral types of interventions are concerned:
concerned	 For F&V: Article 43(1)(n)
	 For wine sector: Article 52 (1)(g) and (h)
	For hops, olives oil and table olives sector and 'other sectors' as
	defined in Article 39: Article 60(1)(f)
Methodology	The number of actions in the Financial Year concerned, shall be reported
	per unit amount.
Methodology for the	Following aggregates should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one intervention several
	unit amounts are defined).
	- Total number of supported promotion and information actions
Unit of measurement	Number of actions
Comments/caveats	

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Indicator names	0.35: Number of actions for beekeeping preservation/improvement
Definition	The total number of actions or units for beekeeping preservation/improvement paid in the Financial Year concerned.
Types of intervention concerned	 The following types of sectoral types of interventions are concerned: For apiculture sector and the Union financial assistance ((Article
	49(1) (a-h)):
	(a) technical assistance to beekeepers and beekeepers' organisations;
	(b) actions to combat beehive invaders and diseases, in particular varroasis;
	(c) actions to rationalise transhumance;
	(d) actions to support laboratories for the analysis of apiculture products;
	(e) restocking of beehives in the Union;
	(f) cooperation with specialised bodies for the implementation of research programs in the field of beekeeping and apiculture products;
	(g) market monitoring actions;
	(h) actions to enhance product quality.
Methodology	The number of actions or units in the Financial Year concerned shall be reported per intervention .
	In case within one intervention several unit amounts are defined, the number of operations per unit amount shall be reported.
Methodology for the	The following aggregate should be provided :
aggregated values	- By intervention (if relevant, i.e. when within one intervention several unit amounts are defined)
Unit of measurement	Number of beekeepers for interventions under letters (a), (b), (c), (e) above.
	Number of beehives for interventions under letters (b), (c), (e) above.
	Number of actions for interventions under letters (d), (f), (g), (h) above.
Comments/caveats	

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