



# Update to the cover note and indicator fiches

*GREXE, 17 September 2021*

# Outline

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# 1. Introduction

An agreement was achieved but the CAP Plan Regulation is not yet adopted!

Therefore:

- YES modification of number of the indicators
- POSTPONED renumbering of the articles

## *DISCLAIMER*

*[...] This document is not a final document, as it is based on the recent political agreement and it has no legal binding value. Please note that the ordinary legislative procedure is not finished and the Regulation not adopted yet. The document does not bind the European Commission in relation to the future approval procedure [...]*

# I. Output Indicator - aggregates

**Possibility to use “other units” for interventions →** we need one aggregate accounting all EU action, whatever the unit used for the payment

- a) Totals per intervention where relevant (i.e. where several unit amounts are defined within one intervention)
- b) Totals per type of intervention where relevant (e.g. for eco-schemes)
- c) Total (net) outputs, i.e. totals without double counting:
  - i. Per each unit of measurement used to pay the outputs
  - ii. One total for a common unit of measurement, such as the total number of investment operations for O.20 (whether the support was paid per operation, per livestock unit or another unit).

# I. Output Indicator - aggregates

## Example on Investment (Art. 68)

Beneficiary	Intervention	Unit of measurement			
		Operation	Hectare	LU	MW
Beneficiary 1	Stable Welfare	1		500	
Beneficiary 2	Stable Welfare	1		250	
Beneficiary 3	Renewable Energy	1			1000
Beneficiary 4	Afforestation	1	400		
Beneficiary 5	Stable Modernisation	1			
TOTAL by unit of payment		1	400	750	1000
TOTAL common unit of measurement		5			

# I. Output Indicator - aggregates

Simplification to avoid the double counting

- Notification: APR notified on **15/02/Year N**
- Reported Period: **Financial Year N-1** in relation to interventions claimed in **Year N-2 only**.
  - Example: ha from EAFRD interventions claimed in year N-2 having received related first payment by the 15th of October Year N-2 will be solely reported in APR Year N.

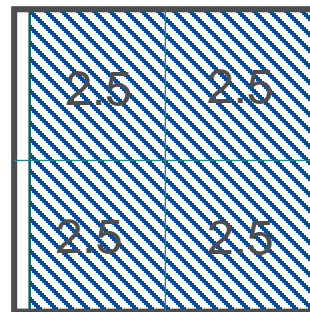
## II. Area Indicators - aggregates

### Simplification to avoid the double counting

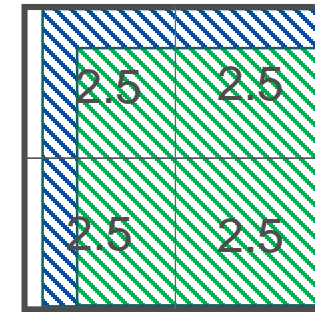
Area declared (or claimed): 10 ha  
Area determined after controls before applying limits: 9.8 ha  
Area determined after controls after applying limits (area paid): 8 ha



Area declared (or claimed)



Area determined after controls **before** applying limits



Area determined after controls **after** applying limits (area paid)

## II. Area Indicators - aggregates

### Output and Result Indicators – area related indicators

To summarise:

- **Output Indicators detailed**: area determined after controls and after applying limits/ceilings (e.g. entitlements)
- **Output Indicators aggregates**:
  - A. By intervention: area determined after controls and after applying limits/ceilings
  - B. By type of intervention: it depends
- **Result Indicators**: area determined after controls and before applying limits/ceilings (only one exception!).



## II. Area Indicators - aggregates

### Output and Result Indicators – area related indicators

		Area determined <u>before</u> applying any limit (entitlements, maximum...)	Area determined <u>after</u> applying limits (entitlements, maximum...)
Output Indicators detailed			O.4, O.5, O.6, O.7, O.8, O.10, O.12, O.13, O.14, O.15, O.16, O.17, O.19, O.34
Output Indicators aggregates	by intervention		O.8, O.13, O.14, O.15, O.16, O.17, O.19
	by type of intervention	O.4 (Total direct payments), O.8, O.14, O.15, O.16, O.17, O.34	O.4 (BISS), O.5, O.6, O.7, O.10, O.12, O.13,
Result Indicators		R.4, R.6, R.7, R.12, R.14, R.19, R.20, R.21, R.22, R.23, R.24, R.29, R.30, R.31, R.33, R.34,	R.17

# III. Output Indicators – Financial Instruments

- For clearance: OIs are reported separately for FIs and grants
- For aggregates and RIs: the same project/beneficiary receiving FI and grant counts only 1
- The list of Output Indicator provided per types of interventions is exhaustive
- The list of Result Indicators provided is not exhaustive → it depends very much on the design of the intervention proposed by MS
- Link to OIs and RIs provided in Annex II of the cover note

# III. Output Indicators – Financial Instruments

Types of Interventions	Output indicators		Result indicators	
Risk management tools (Art.70)	O.9	Number of units covered by supported CAP risk management tools	R.5	Risk management
Investments (Art.68, Art.68a)	O.20	Number of supported on-farm productive investment operations or units	R.3 R.9	Digitalising agriculture Farm modernisation
	O.22	Number of supported infrastructure investment operations or units	R.15	Renewable energy from agriculture, forestry and from other renewable sources
	O.24	Number of supported off-farm productive investment operations or units	R.16 R.18 R.26 R.27 R.32 R.37 R.39 R.41	Investments related to climate Investment support to the forest sector Investments related to natural resources Environment/climate-related performance through investment in rural areas Investments related to biodiversity Growth and jobs in rural areas Developing the rural economy Connecting rural Europe
Installation support (Art.69)	O.25	Number of young farmers receiving installation support	R.36	Generational renewal
	O.26	Number of new farmers receiving installation support (other than young farmers reported under O.25)	R.37 R.39	Growth and jobs in rural areas Developing the rural economy
	O.27	Number of rural businesses receiving support for start up		
Cooperation (Art.71)	O.31	Number of supported local development strategies (LEADER) or preparatory actions	R.38	LEADER coverage
	O.32	Number of supported other cooperation operations or units (excluding EIP reported under O.1)	R.37 R.39	Growth and jobs in rural areas Developing the rural economy
Knowledge exchange and information (Art.72)	O.33	Number of supported training, advice and awareness actions or units	R.1 R.3 R.24	Enhancing performance through knowledge and innovation Digitalising agriculture Environmental/climate performance through knowledge and innovation

## IV. Result Indicators - Beneficiaries

- General principle: Indicator fiches specify the type of activity:

Farming	Non-farming	Others (persons, beneficiaries, advisers...)
R.9 (Farm modernisation) R.16 (Invest. related to climate) R.26 (Invest. related to nat. res.) R.32 (Invest. related to biodiver.) R.36 (Generational renewal)	R.18 (Invest. to forest sector) R.27 (Env./clima. Invest. in rural areas) R.39 (Developing the rural ec.)	R.1 (Enhancing perf. through K&I) R.2 (Advice and Knowledge systems) R.28 (Env./clima. through K&I) R.42 (Promoting social inclusion)

- No distinction between farmers and the other participants under R.1 to limit the administrative burden

# V. Result Indicators – Target(s)

- General principle: MS shall set one value per RI
  - Examples: RIs split for monitoring (R.29 organic farming; R.17 afforested land; R.33 Natura 2000 and R.36 generational renewal).
- Only exception: R.11 – Concentration of supply (targets and milestones for each sector of operational programmes).

# VI. RI – Methodology for apiculture activities

- Apiculture activities only to be reported under R.35  
➔ beekeepers not to be included under any other RI.
- Only interventions granted directly to beekeepers
  - IN: Sectoral Interventions (i) combatting invaders and diseases; (ii) preventing damage caused by adverse climatic events; (iii) restocking; (iv) transhumance.
  - OUT: technical support or research activities are not linked to any RI

## VII. Result Indicators – links with interventions

- General principle: All interventions must contribute to at least one common RI
- Exception: it can happen that some interventions (or parts of them) are not covered.
  - Example 1: technical assistance to beekeepers not to be included under R.1
  - Example 2: very specific intervention such as promotion actions on third markets in the wine sector

# VII. Result Indicators – links with interventions

Result Indicators – Share of UAA/LU under supported commitments...

- General principle: limited to interventions going beyond mandatory requirements
  - R.12, R.13, R.14, R.19, R.20, R.21, R.22, R.23, R.24, R.25, R.31, R.33, and R.34.
- Thus: no conditionality, no ANC in R.14, no Art 67 in R.33, no coupled payment without voluntary farming practices



## VIII. RI – Link between RIs and Sp. Objectives

- General principle: in their CAP Plans MS need to select relevant RIs by SOs  
→ most evident links indicated in Annex V of the cover note
  - Example: R.29 (Organic farming) linked to SO4 (Climate); SO5 (Natural Resources); SO6 (Biodiversity); SO9 (Societal demands)
- Depending on the design of the interventions, MS can establish additional links.
- The target value is NOT to be split by SO

# VIII. RI – Link between RIs and Sp. Objectives

**Annex V: Matrix linking Result Indicators to Specific objectives**

	SO1	SO2	SO3	SO4	SO5	SO6	SO7	SO8	SO9	SO10
<b>Result indicators</b> to demonstrate achievements of the CAP Plans	Support viable farm income and resilience	Enhance market orientation and increase farm competitiv eness	Improve the farmers' position in the value chain	Contribute to climate change mitigation and adaptation	Foster sustainable developme nt and efficient manageme nt of natural resources	Contribute to halting and reversing biodiversit y loss	Attract and sustain young farmers and facilitate sustainable business development in rural areas	Promote employeme nt, growth, gender equality	Improve the response of Union agriculture to societal demands on food and health	Modernising the sector by fostering and sharing knowledge, innovation and digitalisation in agriculture
<b>R.1</b> Enhancing performance through knowledge and innovation										
<b>R.2</b> Linking advice and knowledge systems										
<b>R.3</b> Digitalising agriculture										
<b>R.4</b> Linking income support to standards and good practices										
<b>R.5</b> Risk Management										
<b>R.6</b> Redistribution to smaller farms										
<b>R.7</b> Enhancing support to farms in areas with specific needs										
<b>R.8</b> Targeting farms in specific sectors										
<b>R.9</b> Farm modernisation										
<b>R.10</b> Better supply chain										

DISCLAIMER

# IX. RI – Budget allocation by Sp. Objectives

- Interventions are linked to relevant Specific Objectives
- It can be more than one: e.g. Organic
- No split or weight to be applied to the financial allocation by Specific Objective
- Thus we will be able to report on the budget allocation by Specific Objective
- But the total for SOs > CAP budget

# X. RI – Gender Breakdown in R.36 – Generational Renewal (and O.3 - Beneficiaries)

- Co-legislators added several provisions on gender equality
- MS shall report the number of newly sat up young farmers by gender included for legal entities
  - For direct payments, for the CIS-YF, MS shall allocate the payment entitlements to the applicant who enjoys the decision-making power → always only one beneficiary
  - For rural development, a group of beneficiaries can be granted the installation grant → MS shall designate one head of the group (of natural and legal persons)
- Both CIS-YF and installation grant relate to NEWLY sat up YF collected at first payment and only once => add to R.37 – New jobs created
  - Other jobs to be collected at the time of project completion.

### 3. Next steps

- Pre-requisite: Final adoption of the CAP Strategic Plan Proposal
- Feedback on cover note = 1 Oct
- Key as cover note = basis for the calculation methods to be included in the Implementing Act

# Thank you



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