



Thematic Working Group 4

Evaluation of Innovation: state of play

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Evaluating the CAP 2014-2020. Brussels, 10 May 2017

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Outline



- **Legal framework and CMES** for evaluation of innovation
- **EU policy and innovation** in rural areas
- **Challenges** of evaluating innovation in RDPs
- **Approach** to evaluation of innovation
- **The guidelines**



What do we mean with innovation?

Technological, institutional, social,
based on traditional practices in a new
geographical or environmental context

Innovation

New product, practice, service,
production process or a new way of
organising things

**New idea that
proves successful
in practice**

Source: DG Agriculture and Rural Development, Guidelines on programming for innovation and the implementation of the EIP for agricultural productivity and sustainability, Dec. 2014

Why to evaluate innovation?

Show the accountability of rural development interventions

Better target the EAFRD support to innovation

Enhance common learning among stakeholders



Legal requirements related to evaluation of innovation

Managing Authorities...

specify in the Evaluation plan the topics and activities linked to cross-cutting issues

ensure the assessment of RDP results and impacts, provide answers to the evaluation questions related to

- **Focus area 1A and 1B** (AIR of 2017, 2019, ex post)
- **Cross-cutting objective on innovation:** „To what extent has the RDP contributed to fostering innovation?“ (AIR in 2019, ex post)

report on evaluation of innovation in the AIR in 2017, 2019 and in the ex post





Requirements for reporting on evaluation of innovation

2017 AIR

Quantification of programme achievements in relation to innovation, in particular through answering CEQ1, CEQ2 and CEQ21 and their respective indicators



2019 AIR

Progress towards innovation as a cross-cutting objective of the programme and its contributions to achieving the EU headline target on innovation and to smart growth through inter alia assessment of the programme's net contributions to fostering innovation through answering CEQ23 and CEQ30 and changes in their indicators values

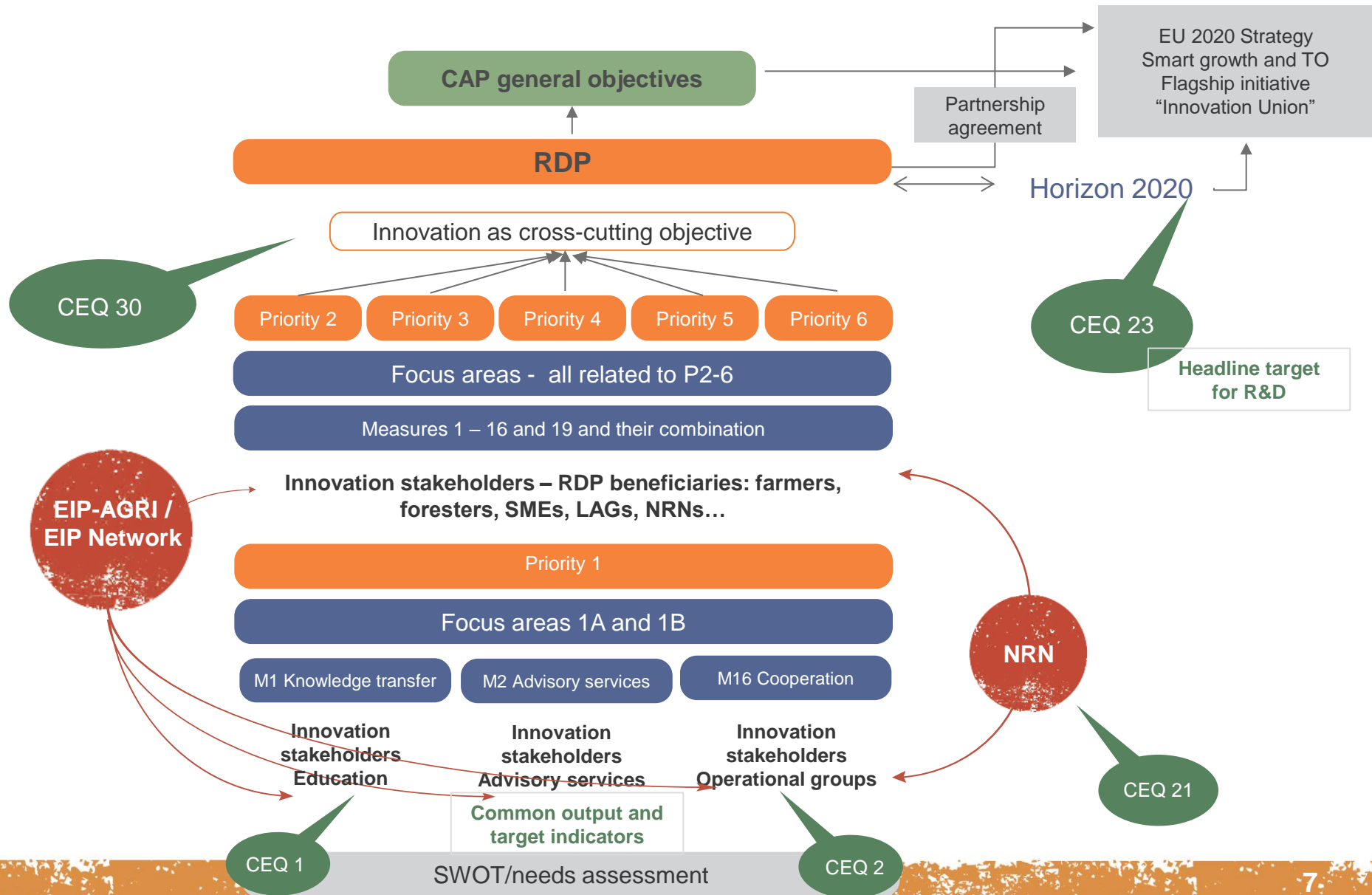


EX POST (2024)

Results of the assessment of effectiveness efficiency, impact and achievements of the RDP in relation to the EU 2020 target on innovation and RD cross-cutting objective of innovation

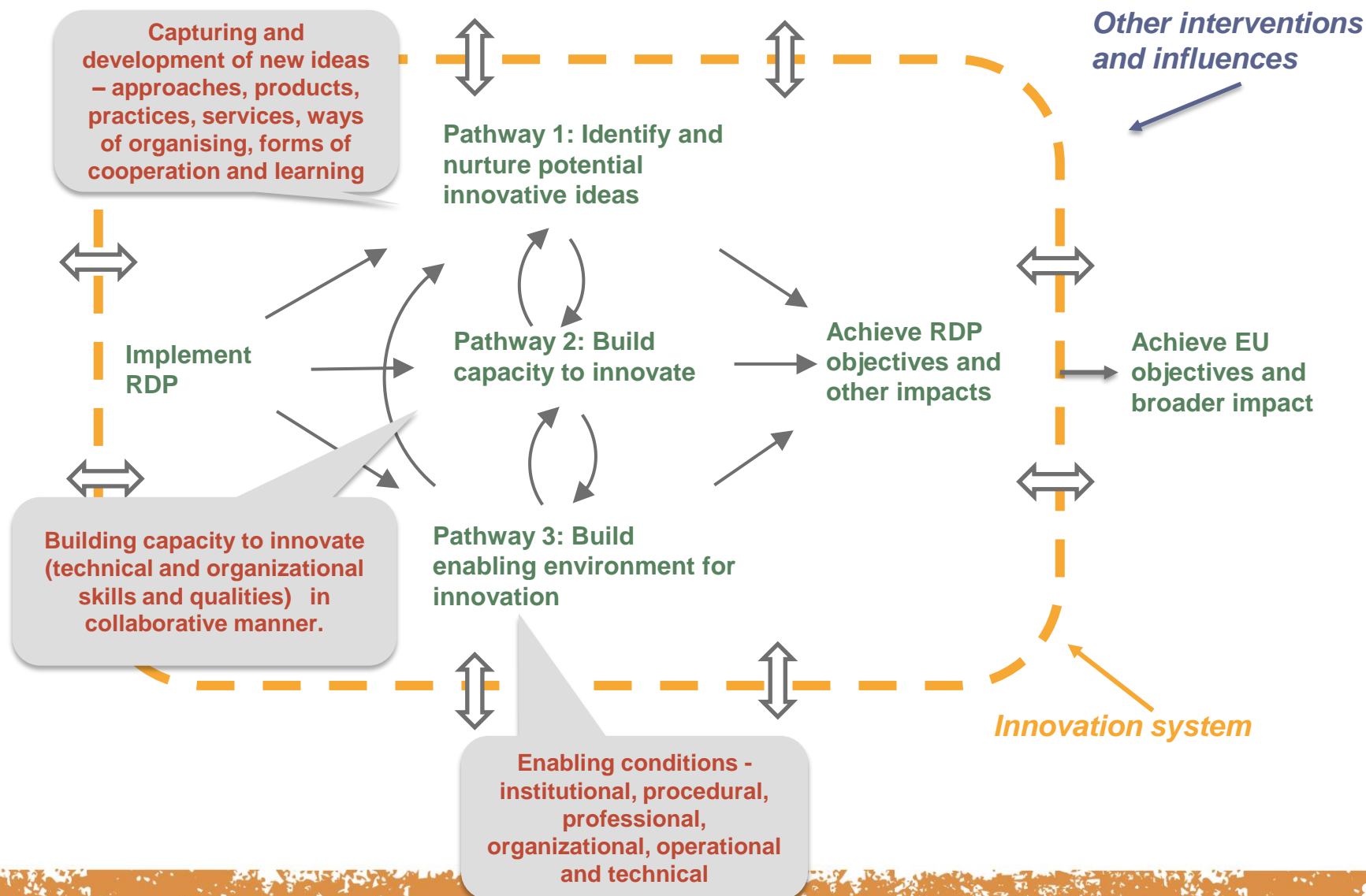
Answers to CEQ1, CEQ2, CEQ21, CEQ23, CEQ30 and programme-specific EQS, conclusions, recommendations in relation to innovation

RD innovation policy and CMES



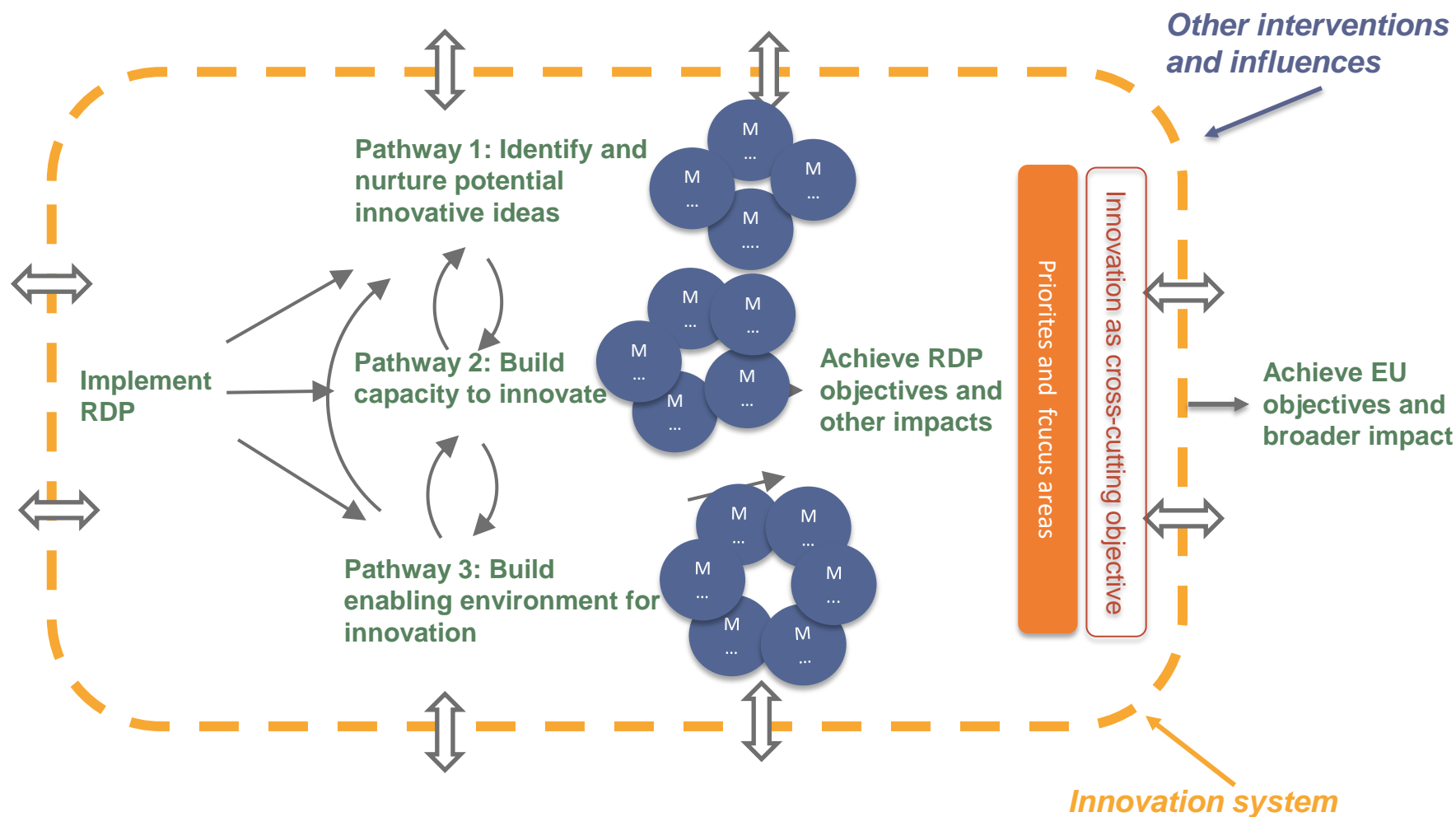


How do RDPs foster innovation?





How do RDPs foster innovation?





Challenges in evaluating innovation

Conceptual challenges

How to identify the evaluation subject/focus?

Challenges linked to the CMES

How to develop additional and programme specific evaluation elements?

Methodological challenges

How to attribute innovations to the RDP?

Organisational challenges

How to use evaluation findings for improving the policy design in favour of fostering innovation?



How to evaluate innovation in RDPs?

1. Identify the
innovation
potential

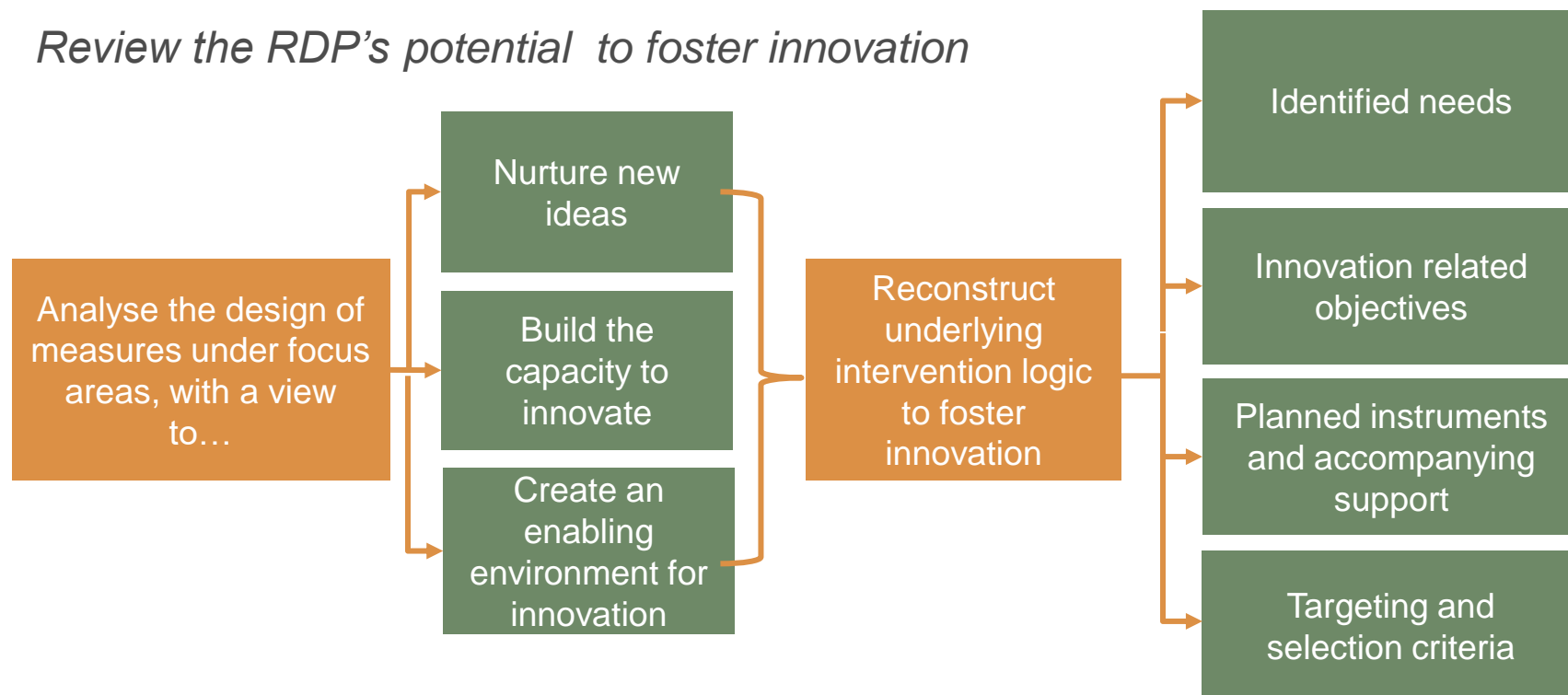
2.
Complement
the CMES

3. Answer the
evaluation
questions



1. Identify the innovation potential (baseline)

Review the RDP's potential to foster innovation





2. Complement the CMES

1. Review the existing common evaluation elements:

- CEQ 1, CEQ 2, CEQ 21 – existing common output indicators
- CEQ 23 linked to headline target
- CEQ 30 – no common indicators

2. Develop additional evaluation elements based on the previous step (identification of innovation potential)

- Additional evaluation questions
- Additional judgment criteria and indicators



3. Answer the evaluation questions





Guidelines: Evaluation of innovation in RDPs 2014-2020

PART I

The conceptual framework

The innovation
system

The EU policy
framework and
evaluation of
innovation in 2014-
2020

The challenges in
evaluating
innovation

PART II

How to evaluate innovation in RDPs

Approach to evaluate
innovation

Managing and preparing
the evaluation

Identifying the RDP
innovation potential

Complementing the
common evaluation
elements for innovation

Answering the evaluation
questions

PART III ANNEXES

Tools

Checklists

Examples

Glossary

References



Thank you for your attention!

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