

WORKING DOCUMENT
Implementing act on monitoring and evaluation of the CAP

DISCLAIMER

This working document has been prepared by DG AGRI staff in order to facilitate the discussion in the expert group on monitoring and evaluation. It has not yet been subject of an inter-service consultation nor revised by the Legal Service

COMMISSION Implementing Regulation (EU) No .../2013

of ??? 2013

laying down detailed rules for the application of the common monitoring and evaluation framework in the common agricultural policy

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to the Regulation of the European Parliament and of the Council (EU) No ...HZR/2013 of ?? 2013¹ on the financing, management and monitoring of the common agricultural policy (the horizontal regulation), and in particular Article 110(2) and (4) thereof,

Whereas:

(1) Regulation (EU) No ...HZR/2013 of ?? 2013 introduces a framework for monitoring and evaluating the common agricultural policy. This legal framework should be complemented by detailed rules for ensuring a comprehensive and regular assessment of the progress, effectiveness and efficiency of the policy against objectives. In order to allow the Member States and the Commission to put in place a consistent monitoring and evaluation framework, common indicators forming part of the common monitoring and evaluation framework referred to in Article 110 of Regulation (EU) No ...HZR/2013 should be defined.

(2) The indicators forming part of the common monitoring and evaluation framework should be linked to the structure and objectives of the policy. Thus, different types of indicators should be defined in order to allow for the assessment of the policy at all levels. Impact indicators should reflect the common main objectives of the CAP as laid down in Article 110(2) of Regulation (EU) No HZR. These common main objectives can be translated into more specific objectives for which result indicators have to be defined. These specific objectives include farm income and farm income variability, improvement of the competitiveness of the agricultural sector, market stability, consumer

¹ OJ ...

expectations, provision of public goods, climate change mitigation and adaptation and maintenance of a diverse agriculture as well as the specific objectives defined for the EAFRD (namely, the Union priorities for rural development). In addition, the concrete implementation of the CAP instruments shall be monitored on the basis of output indicators that are able to reflect this operational level of the policy

(3) It is important to ensure that the monitoring and evaluation framework can be used by the Member States and the Commission timely and efficiently. It should therefore be provided that Member States send the necessary information for the common indicators forming part of the common monitoring and evaluation framework referred to in Art. 110 of Council Regulation (EU) No .../HZR/2013 while respecting the relevant deadlines.

HAS ADOPTED THIS REGULATION:

Article 1

The indicators allowing for the assessment of the progress, effectiveness and efficiency of the policy against its objectives shall be:

- a) Impact indicators –reflecting the main achievements in relation to the common policy objectives as laid down in the first subparagraph Article 110(2) of Regulation (EU) No .../HZR/2013;
- b) Result indicators – reflecting the main achievements of Regulation (EU) No .../[DP], Regulation (EU) No .../[sCMO]² Regulation (EU) No.../(HZR), and Regulation (EU) No .../[RD]³;
- c) Output indicators – reflecting related policy instruments;
- d) Context indicators - reflecting relevant aspects of the general contextual trends that are likely to have an influence on the CAP performance

as specified in Annex [X], as well as the indicators relevant for the monitoring and evaluation of the European Agricultural Fund for Rural Development in accordance with Articles 74 – 86 of Regulation (EU) No RD specified in Regulation XXX/2013 (IA RD)⁴.

Article 2

1. In order to permit the monitoring and evaluation of the performance of the common agricultural policy, the Member States shall provide the Commission with the following information:

² OJ...

³ OJ...

⁴ OJ...

- a) Information/ notifications/ reports submitted by Member States on the implementation of the policy;
- b) Information submitted by Member States for the clearance of accounts;
- c) Information submitted by Member States to the statistical office of the European Union.

The information shall be transmitted by the Member States via existing available tools for information transmission.

2. Member States shall ensure the timely transmission of reliable data and shall respect the deadlines for reporting and notifications for CAP instruments and in particular the rules on reporting and notifications as defined in:

- a) Regulation (EU) No .../[DP] and its delegated and implementing acts;
- b) Regulation (EU) No .../[SCMO] and its delegated and implementing acts;
- c) Regulation (EU) No .../[RD] and its delegated and implementing acts; and of,
- d) Regulation (EU) No ...HZR/2013 and its delegated acts and in this regulation.

Article 3

This Regulation shall enter into force on the [...] day following that of its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels,

For the Commission

The President

[...]

Annex [X] –

List of indicators of the Common Monitoring and Evaluation framework of the CAP

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*Preliminary list of indicators - **Some output indicators might still be subject to small changes***

1. Impact Indicators for the CAP

1. Agricultural entrepreneurial income
2. Agricultural factor income
3. Total factor productivity in agriculture
4. EU commodity price variability
5. Consumer price evolution of food products
6. Agricultural trade balance

7. Emissions from agriculture
8. Farmland birds index
9. HNV Farming
10. Water abstraction in agriculture
11. Water quality
12. Soil organic matter in arable land
13. Soil erosion by water

14. Rural employment rate
15. Degree of rural poverty
16. Rural GDP per capita

2. Result Indicators for the CAP

1. Share of direct support in agricultural income
2. Variability of farm income
 - *by type of farm*
 - *by economic size*
3. Value added for primary producers in the food-chain
4. EU agricultural exports
 - *share of EU agricultural exports in world exports*
 - *share of final products in EU agricultural exports*
5. Public intervention: % volume of products bought in the intervention storage out of total EU production
6. Private storage: % volume of products in private storage out of total EU production
7. Export refunds: % volume of products exported with export refunds out of total EU production
8. EU commodity prices compared to world prices (broken down by product)
9. Value of production under EU quality schemes compared to total value of agricultural and food production
10. Importance of organic farming
 - *share of organic area in total UAA*
 - *share of organic livestock in total livestock*
11. Crop diversity
 - *on farm (number of farms by number of crops and size)*
 - *in a region*
12. Share of grassland in total UAA
13. Share of EFA in agricultural land
14. Net greenhouse gas emission from agricultural soils
15. Structural diversity
 - *in absolute terms*
 - *in relative terms*

NOTA BENE: For Rural Development, the result indicators will be specified in Regulation XXX/2013 (IA RD) in accordance with Title VII of Regulation (EU) No RD
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3. Output Indicators for the CAP

Direct payments

Basic payment scheme

- ❖ *Number of beneficiaries*
- ❖ *Number of hectares*

Single Area Payment Scheme

- ❖ *Number of beneficiaries*
- ❖ *Number of hectares*

Redistributive payment

- ❖ *Number of beneficiaries*
- ❖ *Number of hectares*

Greening

- ❖ *Total number of beneficiaries having to comply with greening requirements*
- ❖ *Total number of hectares declared by these farmers*

Greening exemptions

- ❖ *Number of beneficiaries (organic farmers, exempted from crop diversification, exempted from EFA obligation)*
- ❖ *Number of hectares (organic farmers, exempted from crop diversification, exempted from EFA obligation)*

Crop diversification

- ❖ *Number of beneficiaries subject to crop diversification (with 2 crops; with 3 crops)*
- ❖ *Number of hectares subject to crop diversification (with 2 crops; with 3 crops)*

Permanent grassland

- ❖ *Number of beneficiaries with permanent grassland counting for the ratio*
- ❖ *Number of hectares with permanent grassland counting for the ratio*
- ❖ *Number of beneficiaries with permanent grassland in designated environmentally sensitive areas*
- ❖ *Number of hectares declared as permanent grassland in designated environmentally sensitive areas*
- ❖ *Number of hectares designated as environmentally sensitive area (total)*

EFA

- ❖ *Number of beneficiaries affected by EFA requirements*
- ❖ *Number of hectares of arable land declared by farmers subject to EFA*
- ❖ *Number of hectares declared by farmers as EFA, broken down by EFA type*

Equivalence

- ❖ *Number of beneficiaries applying equivalent measures (certification schemes or agri-environment-climate measures)*
- ❖ *Number of hectares declared and covered by equivalent measures (certification schemes or agri-environment-climate measures)*

Payment for young farmers

- ❖ *Number of beneficiaries*
- ❖ *Number of hectares*

Small farmers' scheme

- ❖ *Number of beneficiaries*
- ❖ *Number of hectares*

Voluntary coupled support

- ❖ *Number of beneficiaries affected by voluntary coupled support (broken down by sector or type of farming)*
- ❖ *Quantities eligible (number of hectares/ number of animals broken down by sector or type of farming)*

Payment for areas with natural constraints

- ❖ *Number of beneficiaries*
- ❖ *Number of hectares*

National programmes for the cotton sector

- ❖ *Number of beneficiaries*
- ❖ *Number of hectares*

Market measures

Public intervention

- ❖ *volume*
- ❖ *Duration*

Private storage

- ❖ *volume*
- ❖ *Duration*

Export refunds

- ❖ *Volume of products exported with export refunds*

Exceptional measure

- ❖ *[as appropriate]*

Producer organisations

- ❖ *% of production marketed by PO, APOs and IBOs*

School schemes

- ❖ *Number of final beneficiaries of school milk scheme*
- ❖ *Number of final beneficiaries of school fruit scheme*

Vine sector

- ❖ *Geographical indications in the vine sector*
- ❖ *Number of hectares of newly authorised vineyards*
- ❖ *Number of hectares of restructured vineyards*
- ❖ *Number of promotion projects in the vine sector*
- ❖ *Number of beneficiaries of investment measures/ support for innovation*

Horizontal aspects

Cross compliance

- ❖ *Number of hectares subject to cross-compliance*
- ❖ *Share of CAP payments subject to cross-compliance*

Quality policy

- ❖ *Number of new PDO, PGI and TSG by sector*

Organic farming

- ❖ *Number of hectares (total and under conversion)*

- ❖ *Number of certified registered organic operators*

Promotion policy

- ❖ *Number of programmes (in and outside the EU)*
- ❖ *Number of new proposing organisations*

Farm Advisory system

- ❖ *Number of farmers advised*

NOTA BENE: For Rural Development, the list of output indicators will be specified in Regulation XXX/2013 (IA RD) in accordance with Title VII of Regulation (EU) No RD
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4. Context Indicators

In accordance with Title VII of Regulation (EU) No RD, these indicators will be specified in Regulation XXX/2013 (IA RD)