



DRAFT IMPLEMENTING ACTS: MONITORING AND EVALUATION PROVISIONS

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Background and procedure (1)

- *2 working documents*
 - **Horizontal Regulation Article 110**
 - **Rural Development IA**
- *Article 110*
 - **Circulated to CAP M&E Expert Group (Pillar1/2)**
 - **Comments by 6/12/2013**
 - **Expert Group Meeting planned for 9/1/2014**
 - **Voting in Funds Committee in 2014**

Background and procedure (2)

- *RD: 1st draft of articles + Annexes II & III*
 - **Content presented for info to ExCo 20/11**
 - **To be discussed in RDC 21/11**
- *Further draft, plus remaining annexes*
 - **To be discussed in RDC 18/12**
- *Voting in RDC in 2014*

Article 110 IA content

- *Recital linking indicators to objectives*
- *Article 1: Indicators*
- *Article 2: Data submission*
- *Annex: Common CAP impact indicators, result and output indicators (with ref to RD IA)*

RD IA M&E components

- *M&E sections based on ExCo output*
- *Recital*
- ***Article 14: M&E system***
- ***Article 15: AIR***
- ***Article 16: Evaluation Plan***
- *Annexes: Common indicators; Technical support for M&E; Structure and content of AIRs;*
- *Open issue: Common Evaluation Questions*

Article 14: The M&E system (1)

- **Intervention logic**
- **Common indicators**
- **Common EQs**
- **Data collection, storage and transmission**
- **Reporting**
- **Evaluation Plan**
- **Evaluation activities**
- **Technical support**

Article 14: The M&E system (2)

- *Common indicators set out in annex*
- *Reference to annex describing guidance documents*
- *Requirement to flag operations contributing to Focus Areas with complementary result indicators*



Articles 15 and 16: AIR and EP

- AIR: refers to AIR Annex
- EP: refers to RDP Annex

Recital

- Objectives of the EP:

"The minimum contents of the Evaluation Plan should be defined in order to ensure that sufficient and appropriate evaluation activities are undertaken, in particular to provide the information needed for the AIRs in 2017 and 2019, and to ensure that it is possible to aggregate some minimum evaluation results to EU level."

Annex: Common indicators

- *Lists of:*
 - **Context indicators**
 - **Result indicators**
 - **Output indicators**
- *Impact and target indicators identified within the above lists.*
- *Indicators those seen and discussed with ExCo and RDC*

Annex: Technical support

- *New element – due to empowerment rules*
- *Equivalent to Article 62(3) of 1974/2006*
- *Will list the M&E guidance produced (or to come) such as:*
 - **Indicator fiches**
 - **Ex ante guidelines**
 - **Evaluation Plan guidelines**
 - **Monitoring guidance**
 - **Methodological guidance (EQs, result indicators)**
 - **.....**

Annex: AIR

- *Content based on proposals discussed with ExCo September 2013.*
- *Three sections:*
 - **“Standard” AIR – every year**
 - **Enhanced AIR 2017**
 - **Enhanced AIR 2019**

Content of "standard" AIR (reporting on evaluation)

- 1. EP modifications*
- 2. Evaluation activities undertaken**
- 3. Activities related to provision and management of data**
- 4. List of completed evaluations**
- 5. Summary of completed evaluations*
- 6. Communication activities**
- 7. Follow-up of evaluation results**



Additional elements for 2017 AIR

Information from evaluation activities on:

Reporting and quantification of programme achievements, in particular through assessment of the complementary result indicators, and relevant evaluation questions.



Additional elements for 2019 AIR

Information from evaluation activities on:

Reporting and quantification of programme achievements, in particular through assessment of the complementary result indicators, and relevant evaluation questions.

Reporting on interim impact of the RDP: contribution to programme and EU strategy and objectives, in particular through assessment of the programme's net contribution to changes in CAP impact indicator values, and relevant evaluation questions.

Open issue: Common Evaluation Questions

- *Based on document discussed with ExCo (September 2013 and today)*
- *Specified as a component of the M&E system*
- *Questions only, not judgement criteria, nor methodology*
- *Common set not sufficient – intended to ensure that evaluations cover basic essentials, and to provide answers at EU level.*